



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Worst Singularities of Plane Curves of Given Degree

Citation for published version:

Cheltsov, I 2017, 'Worst Singularities of Plane Curves of Given Degree', *Journal of Geometric Analysis*, vol. 27, no. 3, pp. 2302-2338. <https://doi.org/10.1007/s12220-017-9762-y>

Digital Object Identifier (DOI):

[10.1007/s12220-017-9762-y](https://doi.org/10.1007/s12220-017-9762-y)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Journal of Geometric Analysis

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



WORST SINGULARITIES OF PLANE CURVES OF GIVEN DEGREE

IVAN CHELTISOV

ABSTRACT. We prove that $\frac{2}{d}$, $\frac{2d-3}{(d-1)^2}$, $\frac{2d-1}{d(d-1)}$, $\frac{2d-5}{d^2-3d+1}$ and $\frac{2d-3}{d(d-2)}$ are the smallest log canonical thresholds of reduced plane curves of degree $d \geq 3$, and we describe reduced plane curves of degree d whose log canonical thresholds are these numbers. As an application, we prove that $\frac{2}{d}$, $\frac{2d-3}{(d-1)^2}$, $\frac{2d-1}{d(d-1)}$, $\frac{2d-5}{d^2-3d+1}$ and $\frac{2d-3}{d(d-2)}$ are the smallest values of the α -invariant of Tian of smooth surfaces in \mathbb{P}^3 of degree $d \geq 3$. We also prove that every reduced plane curve of degree $d \geq 4$ whose log canonical threshold is smaller than $\frac{5}{2d}$ is GIT-unstable for the action of the group $\mathrm{PGL}_3(\mathbb{C})$, and we describe GIT-semistable reduced plane curves with log canonical thresholds $\frac{5}{2d}$.

All varieties are assumed to be algebraic, projective and defined over \mathbb{C} .

1. INTRODUCTION

Let C_d be a *reduced* plane curve in \mathbb{P}^2 of degree $d \geq 3$, and let P be a point in C_d . The curve C_d can have *any* given plane curve singularity at P provided that its degree d is *sufficiently* big. Thus, it is natural to ask

Question 1.1. What is the *worst* singularity that C_d can have at P ?

Denote by m_P the multiplicity of the curve C_d at the point P , and denote by $\mu(P)$ the Milnor number of the point P . If we use m_P to measure the singularity of C_d at the point P , then a union of d lines passing through P is an answer to Question 1.1, since $m_P \leq d$, and $m_P = d$ if and only if C_d is a union of d lines passing through P . If we use the Milnor number $\mu(P)$, then the answer would be the same, since $\mu(P) \leq (d-1)^2$, and $\mu(P) = (d-1)^2$ if and only if C_d is a union of d lines passing through P . Alternatively, we can use the number

$$\mathrm{lct}_P(\mathbb{P}^2, C_d) = \sup \left\{ \lambda \in \mathbb{Q} \mid \text{the log pair } (\mathbb{P}^2, \lambda C_d) \text{ is log canonical at } P \right\},$$

which is known as the *log canonical threshold* of the log pair (\mathbb{P}^2, C_d) at the point P or the log canonical threshold of the curve C_d at the point P (see [4, Definition 6.34]). The smallest $\mathrm{lct}_P(\mathbb{P}^2, C_d)$ when P runs through all points in C_d is usually denoted by $\mathrm{lct}(\mathbb{P}^2, C_d)$. Note that

$$\frac{1}{m_P} \leq \mathrm{lct}_P(\mathbb{P}^2, C_d) \leq \frac{2}{m_P}.$$

This is well-known (see, [4, Exercise 6.18] and [4, Lemma 6.35]). So, the smaller $\mathrm{lct}_P(\mathbb{P}^2, C_d)$, the worse singularity of the curve C_d at the point P is.

Example 1.2. Suppose that C_d is given by $x_1^{n_1} x_2^{n_2} (x_1^{m_1} + x_2^{m_2}) = 0$ up to analytic change of local coordinates, where m_1 and m_2 are non-negative integers, and $n_1, n_2 \in \{0, 1\}$. Then

$$\mathrm{lct}_P(\mathbb{P}^2, C_d) = \min \left\{ 1, \frac{\frac{1}{m_1} + \frac{1}{m_2}}{1 + \frac{n_1}{m_1} + \frac{n_2}{m_2}} \right\}$$

by [8, Proposition 2.2].

Log canonical thresholds of plane curves have been intensively studied (see, for example, [8]). Surprisingly, they give the same answer to Question 1.1 by

2010 *Mathematics Subject Classification.* 14H20, 14H50, 14J70 (primary), and 14E05, 14L24, 32Q20 (secondary).
Key words and phrases. Log canonical threshold, plane curve, GIT-stability, α -invariant of Tian, smooth surface.

Theorem 1.3 ([1, Theorem 4.1]). One has $\text{lct}_P(\mathbb{P}^2, C_d) \geq \frac{2}{d}$. Moreover, $\text{lct}(\mathbb{P}^2, C_d) = \frac{2}{d}$ if and only if C_d is a union of d lines that pass through P .

In this paper we want to address

Question 1.4. What is the *second worst* singularity that C_d can have at P ?

To give a *reasonable* answer to this question, we have to disregard m_P by obvious reasons. Thus, we will use the numbers $\mu(P)$ and $\text{lct}_P(\mathbb{P}^2, C_d)$. For cubic curves, they give the same answer.

Example 1.5. Suppose that $d = 3$, $m_P < 3$ and P is a singular point of C_3 . Then P is a singular point of type \mathbb{A}_1 , \mathbb{A}_2 or \mathbb{A}_3 . Moreover, if C_3 has singularity of type \mathbb{A}_3 at P , then $C_3 = L + C_2$, where C_2 is a smooth conic, and L is a line tangent to C_2 at P . Furthermore, we have

$$\mu(P) = \begin{cases} 1 & \text{if } C_3 \text{ has } \mathbb{A}_1 \text{ singularity at } P, \\ 2 & \text{if } C_3 \text{ has } \mathbb{A}_2 \text{ singularity at } P, \\ 3 & \text{if } C_3 \text{ has } \mathbb{A}_3 \text{ singularity at } P. \end{cases}$$

Similarly, we have

$$\text{lct}_P(\mathbb{P}^2, C_3) = \begin{cases} 1 & \text{if } C_3 \text{ has } \mathbb{A}_1 \text{ singularity at } P, \\ \frac{5}{6} & \text{if } C_3 \text{ has } \mathbb{A}_2 \text{ singularity at } P, \\ \frac{3}{4} & \text{if } C_3 \text{ has } \mathbb{A}_3 \text{ singularity at } P. \end{cases}$$

For quartic curves, the numbers $\mu(P)$ and $\text{lct}_P(\mathbb{P}^2, C_d)$ give different answers to Question 1.4.

Example 1.6. Suppose that $d = 4$, $m_P < 4$ and P is a singular point of C_4 . Going through the list of all possible singularities that C_P can have at P (see, for example, [6]), we obtain

$$\mu(P) = \begin{cases} 6 & \text{if } C_4 \text{ has } \mathbb{D}_6 \text{ singularity at } P, \\ 6 & \text{if } C_4 \text{ has } \mathbb{A}_6 \text{ singularity at } P, \\ 6 & \text{if } C_4 \text{ has } \mathbb{E}_6 \text{ singularity at } P, \\ 7 & \text{if } C_4 \text{ has } \mathbb{A}_7 \text{ singularity at } P, \\ 7 & \text{if } C_4 \text{ has } \mathbb{E}_7 \text{ singularity at } P, \end{cases}$$

and $\mu(P) < 6$ in all remaining cases. Similarly, we get

$$\text{lct}_P(\mathbb{P}^2, C_4) = \begin{cases} \frac{5}{8} & \text{if } C_4 \text{ has } \mathbb{A}_7 \text{ singularity at } P, \\ \frac{5}{8} & \text{if } C_4 \text{ has } \mathbb{D}_5 \text{ singularity at } P, \\ \frac{3}{5} & \text{if } C_4 \text{ has } \mathbb{D}_6 \text{ singularity at } P, \\ \frac{7}{12} & \text{if } C_4 \text{ has } \mathbb{E}_6 \text{ singularity at } P, \\ \frac{5}{9} & \text{if } C_4 \text{ has } \mathbb{E}_7 \text{ singularity at } P, \end{cases}$$

and $\text{lct}_P(\mathbb{P}^2, C_4) > \frac{5}{8}$ in all remaining cases.

Recently, Arkadiusz Płoski proved that $\mu(P) \leq (d-1)^2 - \lfloor \frac{d}{2} \rfloor$ provided that $m_P < d$. Moreover, he described C_d in the case when $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor$. To present his description, we need

Definition 1.7. The curve C_d is an *even Płoski* curve if d is even, the curve C_d has $\frac{d}{2} \geq 2$ irreducible components that are smooth conics passing through P , and all irreducible components of C_d intersect each other pairwise at P with multiplicity 4. The curve C_d is an *odd Płoski*

curve if d is odd, the curve C_d has $\frac{d+1}{2} \geq 2$ irreducible components that all pass through P , $\frac{d-1}{2}$ irreducible component of the curve C_d are smooth conics that intersect each other pairwise at P with multiplicity 4, and the remaining irreducible component is a line in \mathbb{P}^2 that is tangent at P to all other irreducible components. We say that C_d is *Płoski* curve if it is either an even Płoski curve or an odd Płoski curve.

Each Płoski curve has unique singular point. If $d = 4$, then C_4 is a Płoski curve if and only if it has a singular point of type \mathbb{A}_7 . Thus, if $d = 4$, then $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor = 7$ if and only if either C_4 is a Płoski curve and P is its singular point or C_4 has singularity \mathbb{E}_7 at the point P (see Example 1.6). For $d \geq 5$, Płoski proved

Theorem 1.8 ([10, Theorem 1.4]). If $d \geq 5$, then $\mu(P) = (d-1)^2 - \lfloor \frac{d}{2} \rfloor$ if and only if C_d is a Płoski curve and P is its singular point.

This result gives a *very good* answer to Question 1.4. The main goal of this paper is to give an answer to Question 1.4. using log canonical thresholds. Namely, we will prove that

$$\text{lct}_P(\mathbb{P}^2, C_d) \geq \frac{2d-3}{(d-1)^2}$$

provided that $m_P < d$, and we will describe C_d in the case when $\text{lct}_P(\mathbb{P}^2, C_d) = \frac{2d-3}{(d-1)^2}$. To present this description, we need

Definition 1.9. The curve C_d has singularity of type \mathbb{T}_r (resp., \mathbb{K}_r , $\tilde{\mathbb{T}}_r$, $\tilde{\mathbb{K}}_r$) at the point P if the curve C_d can be given by $x_1^r = x_1 x_2^r$ (resp., $x_1^r = x_2^{r+1}$, $x_2 x_1^{r-1} = x_1 x_2^r$, $x_2 x_1^{r-1} = x_2^{r+1}$) up to analytic change of coordinates at the point P .

Note that $\mathbb{T}_2 = \mathbb{A}_3$, $\mathbb{K}_2 = \mathbb{A}_2$, $\tilde{\mathbb{T}}_2 = \tilde{\mathbb{K}}_2 = \mathbb{A}_1$, $\tilde{\mathbb{K}}_3 = \mathbb{D}_5$, $\tilde{\mathbb{T}}_3 = \mathbb{D}_6$, $\mathbb{K}_3 = \mathbb{E}_6$ and $\mathbb{T}_3 = \mathbb{E}_7$. Furthermore, since we assume that $d \geq 3$, the formula in Example 1.2 gives

$$\text{lct}_P(\mathbb{P}^2, C_d) = \begin{cases} \frac{2d-3}{(d-1)^2} & \text{if } C_d \text{ has } \mathbb{T}_{d-1} \text{ singularity at } P, \\ \frac{2d-1}{d(d-1)} & \text{if } C_d \text{ has } \mathbb{K}_{d-1} \text{ singularity at } P, \\ \frac{2d-5}{d^2-3d+1} & \text{if } C_d \text{ has } \tilde{\mathbb{T}}_{d-1} \text{ singularity at } P, \\ \frac{2d-3}{d(d-2)} & \text{if } C \text{ has } \tilde{\mathbb{K}}_{d-1} \text{ singularity at } P, \end{cases}$$

where $\frac{2}{d} < \frac{2d-3}{(d-1)^2} < \frac{2d-1}{d(d-1)} < \frac{2d-5}{d^2-3d+1} \leq \frac{2d-3}{d(d-2)}$. In this paper we will prove

Theorem 1.10. Suppose that $d \geq 4$ and $\text{lct}_P(\mathbb{P}^2, C_d) \leq \frac{2d-3}{d(d-2)}$. Then one of the following holds:

- (1) $m_P = d$,
- (2) the curve C_d has singularity of type \mathbb{T}_{d-1} , \mathbb{K}_{d-1} , $\tilde{\mathbb{T}}_{d-1}$ or $\tilde{\mathbb{K}}_{d-1}$ at the point P ,
- (3) $d = 4$ and C_d is a Płoski quartic curve (in this case $\text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{8}$).

This result describes the *five worst* singularities that C_d can have at the point P . In particular, Theorem 1.10 answers Question 1.4. This answer is very different from the answer given by Theorem 1.8. Indeed, if C_d is a Płoski curve and P is its singular point, then the formula in Example 1.2 gives

$$\text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{2d} > \frac{2d-3}{(d-1)^2}.$$

The proof of Theorem 1.10 implies one result that is interesting on its own. To describe it, let us identify the curve C_d with a point in the space $|\mathcal{O}_{\mathbb{P}^2}(d)|$ that parameterizes all (not necessarily reduced) plane curves of degree d . Since the group $\text{PGL}_3(\mathbb{C})$ acts on $|\mathcal{O}_{\mathbb{P}^2}(d)|$, it is natural to ask whether C_d is GIT-stable (resp., GIT-semistable) for this action or not. For small d , its answer is classical and immediately follows from the Hilbert–Mumford criterion (see [9, Chapter 2.1]).

Example 1.11 ([9, Chapter 4.2]). If $d = 3$, then C_3 is GIT-stable (resp., GIT-semistable) if and only if C_3 is smooth (resp., C_3 has at most \mathbb{A}_1 singularities). If $d = 4$, then C_4 is GIT-stable (resp., GIT-semistable) if and only if C_4 has at most \mathbb{A}_1 and \mathbb{A}_2 singularities (resp., C_4 has at most singular double points and C_4 is not a union of a cubic with an inflectional tangent line).

Paul Hacking, Hosung Kim and Yongnam Lee noticed that the log canonical threshold $\text{lct}(\mathbb{P}^2, C_d)$ and GIT-stability of the curve C_d are closely related. In particular, they proved

Theorem 1.12 ([5, Propositions 10.2 and 10.4], [7, Theorem 2.3]). If $\text{lct}(\mathbb{P}^2, C_d) \geq \frac{3}{d}$, then the curve C_d is GIT-semistable. If $d \geq 4$ and $\text{lct}(\mathbb{P}^2, C_d) > \frac{3}{d}$, then the curve C_d is GIT-stable.

This gives a *sufficient* condition for the curve C_d to be GIT-stable (resp., GIT-semistable). However, this condition is not a *necessary* condition. Let us give two examples that illustrate this.

Example 1.13 ([13, p. 268], [5, Example 10.5]). Suppose that $d = 5$, the quintic curve C_5 is given by

$$x^5 + (y^2 - xz)^2 \left(\frac{x}{4} + y + z \right) = x^2 (y^2 - xz) (x + 2y),$$

and $P = [0 : 0 : 1]$. Then C_5 is irreducible and has singularity \mathbb{A}_{12} at the point P . In particular, it is rational. Furthermore, the curve C_5 is GIT-stable (see, for example, [9, Chapter 4.2]). On the other hand, it follows from Example 1.2 that

$$\text{lct}(\mathbb{P}^2, C_5) = \text{lct}_P(\mathbb{P}^2, C_5) = \frac{1}{2} + \frac{1}{13} = \frac{15}{26} < \frac{3}{5}.$$

Example 1.14. Suppose that C_d is a Płoski curve. Let P be its singular point, and let L be a general line in \mathbb{P}^2 . Then

$$\text{lct}(\mathbb{P}^2, C_d + L) = \text{lct}(\mathbb{P}^2, C_d) = \text{lct}_P(\mathbb{P}^2, C_d) = \frac{5}{2d} < \frac{3}{d}.$$

On the other hand, if d is even, then C_d is GIT-semistable, and $C_d + L$ is GIT-stable. This follows from the Hilbert–Mumford criterion. Similarly, if d is odd, then C_d is GIT-unstable, and $C_d + L$ is GIT-semistable.

In this paper we will prove the following result that complements Theorem 1.12.

Theorem 1.15. If $\text{lct}(\mathbb{P}^2, C_d) < \frac{5}{2d}$, then C_d is GIT-unstable. Moreover, if $\text{lct}(\mathbb{P}^2, C_d) \leq \frac{5}{2d}$, then C_d is not GIT-stable. Furthermore, if $\text{lct}(\mathbb{P}^2, C_d) = \frac{5}{2d}$, then C_d is GIT-semistable if and only if C_d is an even Płoski curve.

Example 1.14 shows that this result is *sharp*. Surprisingly, its proof is very similar to the proof of Theorem 1.10. In fact, we will give a combined proof of both these theorems in Section 3.

In this paper we will also prove one application of Theorem 1.10. To describe it, we need

Definition 1.16 ([12, Appendix A], [3, Definition 1.20]). For a given smooth variety V equipped with an ample \mathbb{Q} -divisor H_V , let $\alpha_V^{H_V} : V \rightarrow \mathbb{R}_{\geq 0}$ be a function defined as

$$\alpha_V^{H_V}(O) = \sup \left\{ \lambda \in \mathbb{Q} \mid \begin{array}{l} \text{the pair } (V, \lambda D_V) \text{ is log canonical at } O \\ \text{for every effective } \mathbb{Q}\text{-divisor } D_V \sim_{\mathbb{Q}} H_V \end{array} \right\}.$$

Denote its infimum by $\alpha(V, H_V)$.

Let S_d be a smooth surface in \mathbb{P}^3 of degree $d \geq 3$, let H_{S_d} be its hyperplane section, let O be a point in S_d , and let T_O be the hyperplane section of S_d that is singular at O . Similar to $\text{lct}_P(\mathbb{P}^2, C_d)$, we can define

$$\text{lct}_O(S_d, T_O) = \sup \left\{ \lambda \in \mathbb{Q} \mid \text{the log pair } (S_d, \lambda T_O) \text{ is log canonical at } O \right\}.$$

Then $\alpha_{S_d}^{H_{S_d}}(O) \leq \text{lct}_O(S_d, T_O)$ by Definition 1.16. Note that T_O is reduced, since the surface S_d is smooth. In this paper we prove

Theorem 1.17. If $\alpha_{S_d}^{H_{S_d}}(O) < \frac{2d-3}{d(d-2)}$, then

$$\alpha_{S_d}^{H_{S_d}}(O) = \text{lct}_O(S_d, T_O) \in \left\{ \frac{2}{d}, \frac{2d-3}{(d-1)^2}, \frac{2d-1}{d(d-1)}, \frac{2d-5}{d^2-3d+1} \right\}.$$

Similarly, if $\alpha(S_d, H_{S_d}) < \frac{2d-3}{d(d-2)}$, then

$$\alpha(S_d, H_{S_d}) = \inf_{O \in S_d} \left\{ \text{lct}_O(S_d, T_O) \right\} \in \left\{ \frac{2}{d}, \frac{2d-3}{(d-1)^2}, \frac{2d-1}{d(d-1)}, \frac{2d-5}{d^2-3d+1} \right\}.$$

If $d = 3$, then we can drop the condition $\alpha_{S_d}^{H_{S_d}}(O) < \frac{2d-3}{d(d-2)}$ in Theorem 1.17, since $\frac{2d-3}{d(d-2)} = 1$ in this case. Thus, Theorem 1.17 implies

Corollary 1.18 ([3, Corollary 1.24]). Suppose that $d = 3$. Then $\alpha_{S_3}^{H_{S_3}}(O) = \text{lct}_O(S_3, T_O)$.

If $d \geq 4$, we cannot drop the condition $\alpha_{S_d}^{H_{S_d}}(O) < \frac{2d-3}{d(d-2)}$ in Theorem 1.17 in general. Let us give two examples that illustrate this.

Example 1.19. Suppose that $d = 4$. Let S_4 be a quartic surface in \mathbb{P}^3 that is given by

$$t^3x + t^2yz + xyz(y + z) = 0,$$

and let O be the point $[0 : 0 : 0 : 1]$. Then S_4 is smooth, and T_O has singularity \mathbb{A}_1 at O , which implies that $\text{lct}_O(S_4, T_O) = 1$. Let L_y be the line $x = y = 0$, let L_z be the line $x = z = 0$, and let C_2 be the conic $y + z = xt + yz = 0$. Then L_y , L_z and C_2 are contained in S_4 , and $O = L_y \cap L_z \cap C_2$. Moreover,

$$L_y + L_z + \frac{1}{2}C_2 \sim 2H_{S_4},$$

because the divisor $2L_y + 2L_z + C_2$ is cut out on S_4 by $tx + yz = 0$. Furthermore, the log pair $(S_4, L_y + L_z + \frac{1}{2}C_2)$ is not log canonical at O , so that $\alpha_{S_4}^{H_{S_4}}(O) < 1$ by Definition 1.16.

Example 1.20. Suppose that $d \geq 5$ and T_O has \mathbb{A}_1 singularity at O . Then $\text{lct}_O(S_d, T_O) = 1$. Let $f: \tilde{S}_d \rightarrow S_d$ be a blow up of the point O . Denote by E its exceptional curve. Then

$$\left(f^*(H_{S_d}) - \frac{11}{5}E \right)^2 = 5 - \frac{121}{25} > 0.$$

Hence, it follows from Riemann–Roch theorem there is an integer $n \geq 1$ such that the linear system $|f^*(5nH_{S_d}) - 11nE|$ is not empty. Pick a divisor \tilde{D} in this linear system, and denote by D its image on S_d . Then $(S_d, \frac{1}{5n}D)$ is not log canonical at P , since $\text{mult}_P(D) \geq 11n$. On the other hand, $\frac{1}{5n}D \sim_{\mathbb{Q}} H_{S_d}$ by construction, so that $\alpha_{S_d}^{H_{S_d}}(O) < 1$ by Definition 1.16.

This work was carried out during the author's stay at the Max Planck Institute for Mathematics in Bonn in 2014. We would like to thank the institute for the hospitality and very good working condition. We would like to thank Michael Wemyss for checking the singularities of the curve C_5 in Example 1.13. We would like to thank Alexandru Dimca, Yongnam Lee, Jihun Park, Hendrick Süß and Mikhail Zaidenberg for very useful comments.

2. PRELIMINARIES

In this section, we present results that will be used in the proof of Theorems 1.10, 1.15, 1.17. Let S be a smooth surface, let D be an effective non-zero \mathbb{Q} -divisor on the surface S , and let P be a point in the surface S . Write

$$D = \sum_{i=1}^r a_i C_i,$$

where each C_i is an irreducible curve on the surface S , and each a_i is a non-negative rational number. Let us recall

Definition 2.1 ([4, § 6]). Let $\pi: \tilde{S} \rightarrow S$ be a birational morphism such that \tilde{S} is smooth. Then π is a composition of blow ups of smooth points. For each C_i , denote by \tilde{C}_i its proper transform on the surface \tilde{S} . Let F_1, \dots, F_n be π -exceptional curves. Then

$$K_{\tilde{S}} + \sum_{i=1}^r a_i \tilde{C}_i + \sum_{j=1}^n b_j F_j \sim_{\mathbb{Q}} \pi^*(K_S + D)$$

for some rational numbers b_1, \dots, b_n . Suppose, in addition, that $\sum_{i=1}^r \tilde{C}_i + \sum_{j=1}^n F_j$ is a divisor with simple normal crossings. Then the log pair (S, D) is said to be *log canonical* at P if and only if the following two conditions are satisfied:

- $a_i \leq 1$ for every C_i such that $P \in C_i$,
- $b_j \leq 1$ for every F_j such that $\pi(F_j) = P$.

Similarly, the log pair (S, D) is said to be *Kawamata log terminal* at P if and only if $a_i < 1$ for every C_i such that $P \in C_i$, and $b_j < 1$ for every F_j such that $\pi(F_j) = P$.

Using just this definition, one can easily prove

Lemma 2.2. Suppose that $r = 3$, $P \in C_1 \cap C_2 \cap C_3$, the curves C_1, C_2 and C_3 are smooth at P , $a_1 < 1$, $a_2 < 1$ and $a_3 < 1$. Moreover, suppose that both curves C_1 and C_2 intersect the curve C_3 transversally at P . Furthermore, suppose that (S, D) is not Kawamata log terminal at P . Put $k = \text{mult}_P(C_1 \cdot C_2)$. Then $k(a_1 + a_2) + a_3 \geq k + 1$.

Proof. Put $S_0 = S$ and consider a sequence of blow ups

$$S_k \xrightarrow{\pi_k} S_{k-1} \xrightarrow{\pi_{k-1}} \dots \xrightarrow{\pi_3} S_2 \xrightarrow{\pi_2} S_1 \xrightarrow{\pi_1} S_0,$$

where each π_j is the blow up of the intersection point of the proper transforms of the curves C_1 and C_2 on the surface S_{j-1} that dominates P (such point exists, since $k = \text{mult}_P(C_1 \cdot C_2)$). For each π_j , denote by E_j^k the proper transform of its exceptional curve on S_k . For each C_i , denote by C_i^k its proper transform on the surface S_k . Then

$$K_{S_k} + \sum_{i=1}^n a_i C_i^k + \sum_{j=1}^k \left(j(a_1 + a_2) + a_3 - j \right) E_j^k \sim_{\mathbb{Q}} (\pi_1 \circ \pi_2 \circ \dots \circ \pi_k)^*(K_S + D),$$

and $\sum_{i=1}^n C_i^k + \sum_{j=1}^k E_j^k$ is a simple normal crossing divisor in every point of $\cup_{j=1}^k E_j^k$. Thus, it follows from Definition 2.1 that there exists $l \in \{1, \dots, k\}$ such that $l(a_1 + a_2) + a_3 \geq l + 1$, because (S, D) is not Kawamata log terminal at P . If $l = k$, then we are done. So, we may assume that $l < k$. If $k(a_1 + a_2) + a_3 < k + 1$, then $a_1 + a_2 < 1 + \frac{1}{k} - a_3 \frac{1}{k}$, which implies that

$$l + 1 \leq l(a_1 + a_2) + a_3 < \left(l + \frac{l}{k} - a_3 \frac{l}{k} \right) + a_3 = l + \frac{l}{k} + a_3 \left(1 - \frac{l}{k} \right) \leq l + \frac{l}{k} + \left(1 - \frac{l}{k} \right) = l + 1,$$

because $a_3 < 1$. Thus, the obtained contradiction shows that $k(a_1 + a_2) + a_3 \geq k + 1$. \square

Corollary 2.3. Suppose that $r = 2$, $P \in C_1 \cap C_2$, the curves C_1 and C_2 are smooth at P , $a_1 < 1$ and $a_2 < 1$. Put $k = \text{mult}_P(C_1 \cdot C_2)$. If (S, D) is not Kawamata log terminal at P , then $k(a_1 + a_2) \geq k + 1$.

The log pair (S, D) is called *log canonical* if it is log canonical at every point of S . Similarly, the log pair (S, D) is called *Kawamata log terminal* if it is Kawamata log terminal at every point of the surface S .

Remark 2.4. Let R be any effective \mathbb{Q} -divisor on S such that $R \sim_{\mathbb{Q}} D$ and $R \neq D$. Put

$$D_{\epsilon} = (1 + \epsilon)D - \epsilon R,$$

where ϵ is a non-negative rational number. Then $D_{\epsilon} \sim_{\mathbb{Q}} D$. Moreover, since $R \neq D$, there exists the greatest rational number $\epsilon_0 \geq 0$ such that the divisor D_{ϵ_0} is effective. Then $\text{Supp}(D_{\epsilon_0})$

does not contain at least one irreducible component of $\text{Supp}(R)$. Moreover, if (S, D) is not log canonical at P , and (S, R) is log canonical at P , then (S, D_{ϵ_0}) is not log canonical at P by Definition 2.1, because

$$D = \frac{1}{1 + \epsilon_0} D_{\epsilon_0} + \frac{\epsilon_0}{1 + \epsilon_0} R$$

and $\frac{1}{1 + \epsilon_0} + \frac{\epsilon_0}{1 + \epsilon_0} = 1$. Similarly, if the log pair (S, D) is not Kawamata log terminal at P , and (S, R) is Kawamata log terminal at P , then (S, D_{ϵ_0}) is not Kawamata log terminal at P .

The following result is well-known.

Lemma 2.5 ([4, Exercise 6.18]). If (S, D) is not log canonical at P , then $\text{mult}_P(D) > 1$. Similarly, if (S, D) is not Kawamata log terminal at P , then $\text{mult}_P(D) \geq 1$.

Combining with

Lemma 2.6 ([4, Lemma 5.36]). Suppose that S is a smooth surface in \mathbb{P}^3 , and $D \sim_{\mathbb{Q}} H_S$, where H_S is a hyperplane section of S . Then each a_i does not exceed 1.

Lemma 2.5 gives

Corollary 2.7. Suppose that S is a smooth surface in \mathbb{P}^3 , and $D \sim_{\mathbb{Q}} H_S$, where H_S is a hyperplane section of S . Then (S, D) is log canonical outside of finitely many points.

The following result is a special case of a much more general result, which is known as Shokurov's connectedness principle (see, for example, [4, Theorem 6.3.2]).

Lemma 2.8 ([11, Theorem 6.9]). If $-(K_S + D)$ is big and nef, then the locus where (S, D) is not Kawamata log terminal is connected.

Corollary 2.9. Let C_d be a reduced curve in \mathbb{P}^2 of degree d , let O and Q be two points in C_d such that $O \neq Q$. If $\text{lct}_O(\mathbb{P}^2, C_d) < \frac{3}{d}$, then $\text{lct}_Q(\mathbb{P}^2, C_d) \geq \frac{3}{d}$.

Let $\pi_1: S_1 \rightarrow S$ be a blow up of the point P , and let E_1 be the π_1 -exceptional curve. Denote by D^1 the proper transform of the divisor D on the surface S_1 via π_1 . Then the log pair $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is often called *the log pull back* of the log pair (S, D) , because

$$K_{S_1} + D^1 + (\text{mult}_P(D) - 1)E_1 \sim_{\mathbb{Q}} \pi_1^*(K_S + D).$$

This \mathbb{Q} -rational equivalence implies that the log pair (S, D) is not log canonical at P provided that $\text{mult}_P(D) > 2$. Similarly, if $\text{mult}_P(D) \geq 2$, then the singularities of the log pair (S, D) are not Kawamata log terminal at the point P .

Remark 2.10. The log pair (S, D) is log canonical at P if and only if $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is log canonical at every point of the curve E_1 . Similarly, the log pair (S, D) is Kawamata log terminal at P if and only if $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is Kawamata log terminal at every point of the curve E_1 .

Let Z be an irreducible curve on S that contains P . Suppose that Z is smooth at P , and Z is not contained in $\text{Supp}(D)$. Let μ be a non-negative rational number. The following result is a very special case of a much more general result known as *Inversion of Adjunction* (see, for example, [11, § 3.4] or [4, Theorem 6.29]).

Theorem 2.11 ([11, Corollary 3.12], [4, Exercise 6.31], [2, Theorem 7]). Suppose that the log pair $(S, \mu Z + D)$ is not log canonical at P and $\mu \leq 1$. Then $\text{mult}_P(D \cdot Z) > 1$.

This result implies

Theorem 2.12. Suppose that $(S, \mu Z + D)$ is not Kawamata log terminal at P , and $\mu < 1$. Then $\text{mult}_P(D \cdot Z) > 1$.

Proof. The log pair $(S, Z + D)$ is not log canonical at P , because $\mu < 1$, and $(S, \mu Z + D)$ is not Kawamata log terminal at P . Then $\text{mult}_P(D \cdot Z) > 1$ by Theorem 2.11. \square

Theorems 2.11 and 2.12 imply

Lemma 2.13. If (S, D) is not log canonical at P and $\text{mult}_P(D) \leq 2$, then there exists a *unique* point in E_1 such that $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is not log canonical at it. Similarly, if (S, D) is not Kawamata log terminal at P , and $\text{mult}_P(D) < 2$, then there exists a *unique* point in E_1 such that $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is not Kawamata log terminal at it.

Proof. If $\text{mult}_P(D) \leq 2$ and $(S_1, D^1 + (\lambda \text{mult}_P(D) - 1)E_1)$ is not log canonical at two distinct points P_1 and \tilde{P}_1 , then

$$2 \geq \text{mult}_P(D) = D^1 \cdot E_1 \geq \text{mult}_{P_1}(D^1 \cdot E_1) + \text{mult}_{\tilde{P}_1}(D^1 \cdot E_1) > 2$$

by Theorem 2.11. By Remark 2.10, this proves the first assertion. Similarly, we can prove the second assertion using Theorem 2.12 instead of Theorem 2.11. \square

The following result can be proved similarly to the proof of Lemma 2.5. Let us show how to prove it using Theorem 2.12.

Lemma 2.14. Suppose that (S, D) is not Kawamata log terminal at P , and (S, D) is Kawamata log terminal in a punctured neighborhood of the point P , then $\text{mult}_P(D) > 1$.

Proof. By Remark 2.10, the log pair $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is not Kawamata log terminal at some point $P_1 \in E_1$. Moreover, if $\text{mult}_P(D) < 2$, then $(S_1, D^1 + (\text{mult}_P(D) - 1)E_1)$ is Kawamata log terminal at a punctured neighborhood of the point P_1 . Thus, if $\text{mult}_P(D) \leq 1$, then $\text{mult}_P(D) = D^1 \cdot E_1 > 1$ by Theorem 2.12, which is absurd. \square

Let Z_1 and Z_2 be two irreducible curves on the surface S such that Z_1 and Z_2 are not contained in $\text{Supp}(D)$. Suppose that $P \in Z_1 \cap Z_2$, the curves Z_1 and Z_2 are smooth at P , the curves Z_1 and Z_2 intersect each other transversally at P . Let μ_1 and μ_2 be non-negative rational numbers.

Theorem 2.15 ([2, Theorem 13]). Suppose that the log pair $(S, \mu_1 Z_1 + \mu_2 Z_2 + D)$ is not log canonical at the point P , and $\text{mult}_P(D) \leq 1$. Then either $\text{mult}_P(D \cdot Z_1) > 2(1 - \mu_2)$ or $\text{mult}_P(D \cdot Z_2) > 2(1 - \mu_1)$ (or both).

This result implies

Theorem 2.16. Suppose that $(S, \mu_1 Z_1 + \mu_2 Z_2 + D)$ is not Kawamata log terminal at P , and $\text{mult}_P(D) < 1$. Then either $\text{mult}_P(D \cdot Z_1) \geq 2(1 - \mu_2)$ or $\text{mult}_P(D \cdot Z_2) \geq 2(1 - \mu_1)$ (or both).

Proof. Let λ be a rational number such that

$$\frac{1}{\text{mult}_P(D)} \geq \lambda > 1.$$

Then $(S, D + \lambda \mu_1 Z_1 + \lambda \mu_2 Z_2)$ is not log canonical at P . Now it follows from Theorem 2.15 that either $\text{mult}_P(D \cdot Z_1) > 2(1 - \lambda \mu_2)$ or $\text{mult}_P(D \cdot Z_2) > 2(1 - \lambda \mu_1)$ (or both). Since we can choose λ to be as close to 1 as we wish, this implies that either $\text{mult}_P(D \cdot Z_1) \geq 2(1 - \mu_2)$ or $\text{mult}_P(D \cdot Z_2) \geq 2(1 - \mu_1)$ (or both). \square

3. REDUCED PLANE CURVES

The purpose of this section is to prove Theorems 1.10 and 1.15. Let C_d be a *reduced* plane curve in \mathbb{P}^2 of degree $d \geq 4$, and let P be a point in C_d . Put $\lambda_1 = \frac{2d-3}{d(d-2)}$ and $\lambda_2 = \frac{5}{2d}$. To prove Theorem 1.10, we have to show that if the log pair $(\mathbb{P}^2, \lambda_1 C_d)$ is not Kawamata log terminal at the point P , then one of the following assertions hold:

- $\text{mult}_P(C_d) = d$,
- C_d has singularity \mathbb{T}_{d-1} , \mathbb{K}_{d-1} , $\tilde{\mathbb{T}}_{d-1}$ or $\tilde{\mathbb{K}}_{d-1}$ at the point P ,
- $d = 4$ and C_4 is a Płoski curve (see Definition 1.7).

To prove Theorem 1.15, we have to show that if $(\mathbb{P}^2, \lambda_2 C_d)$ is not Kawamata log terminal, then either C_d is GIT-unstable or C_d is an even Płoski curve. In the rest of the section, we will do this simultaneously. Let us start with few preliminary results.

Lemma 3.1. The following inequalities hold:

- (i) $\lambda_1 < \frac{2}{d-1}$,
- (ii) $\lambda_1 < \frac{2k+1}{kd}$ for every positive integer $k \leq d-3$,
- (iii) if $d \geq 5$, then $\lambda_1 < \frac{2k+1}{kd+1}$ for every positive integer $k \leq d-4$,
- (iv) $\lambda_1 < \frac{3}{d}$,
- (v) $\lambda_1 < \frac{2}{d-2}$,
- (vi) $\lambda_1 < \frac{6}{3d-4}$,
- (vii) if $d \geq 5$, then $\lambda_1 < \lambda_2$.

Proof. The equality $\frac{2}{d-1} = \lambda_1 + \frac{d-3}{d(d-1)(d-2)}$ implies (i). Let k be positive integer. If $k = d-2$, then $\lambda_1 = \frac{2k+1}{kd}$. This implies (ii), because $\frac{2k+1}{kd} = \frac{2}{d} + \frac{1}{kd}$ is a decreasing function on k for $k \geq 1$. Similarly, if $k = d-4$ and $d \geq 4$, then $\lambda_1 = \frac{2k+1}{kd+1} - \frac{3}{d(d-2)(d^2-4d+1)} < \frac{2k+1}{kd+1}$. This implies (iii), since $\frac{2k+1}{kd+1} = \frac{2}{d} + \frac{d-2}{d(kd+1)}$ is a decreasing function on k for $k \geq 1$. The equality $\lambda_1 = \frac{3}{d} - \frac{d-3}{d(d-2)}$ proves (iv). Note that (v) follows from (i). Since $\frac{6}{3d-4} > \frac{2}{d-1}$, (vi) also follows from (i). Finally, the equality $\lambda_1 = \lambda_2 - \frac{d-4}{2d(d-2)}$ implies (vii). \square

We may assume that $P = [0 : 0 : 1]$. Then C_d is given by $F_d(x, y, z) = 0$, where $F_d(x, y, z)$ is a homogeneous polynomial of degree d . Put $x_1 = \frac{x}{z}$, $x_2 = \frac{y}{z}$ and $f_d(x_1, x_2) = F_d(x_1, x_2, 1)$. Then

$$f_d(x_1, x_2) = \sum_{\substack{i \geq 0, j \geq 0, \\ m_0 \leq i+j \leq d}} \epsilon_{ij} x_1^i x_2^j,$$

where each ϵ_{ij} is a complex number. For every positive integers a and b , define the weight of the polynomial $f_d(x_1, x_2)$ as

$$\text{wt}_{(a,b)}(f_d(x_1, x_2)) = \min \left\{ ai + bj \mid \epsilon_{ij} \neq 0 \right\}.$$

Then the Hilbert–Mumford criterion implies

Lemma 3.2 ([7, Lemma 2.1]). Let a and b be positive integers. If C_d is GIT-stable, then

$$\text{wt}_{(a,b)}(f_d(x_1, x_2)) < \frac{d}{3}(a+b).$$

Similarly, if C_d is GIT-semistable, then $\text{wt}_{(a,b)}(f_d(x_1, x_2)) \leq \frac{d}{3}(a+b)$.

Let $f_1: S_1 \rightarrow \mathbb{P}^2$ be a blow up of the point P . Denote by E_1 the exceptional curve of the blow up f_1 . Denote by C_d^1 the proper transform on S_1 of the curve C_d .

Lemma 3.3. If $\text{mult}_P(C_d) > \frac{2d}{3}$, then C_d is GIT-unstable. Let O be a point in E_1 . If

$$\text{mult}_P(C_d) + \text{mult}_O(C_d^1) > d,$$

then C_d is GIT-unstable.

Proof. Since $\text{mult}_P(C_d) = \text{wt}_{(1,1)}(f_d(x_1, x_2))$, the first assertion follows from Lemma 3.2. Let us prove the second assertion. We may assume that O is contained in the proper transform of the line in \mathbb{P}^2 that is given by $x = 0$. Then

$$\text{wt}_{(2,1)}(f_d(x_1, x_2)) = \text{mult}_P(C_d) + \text{mult}_O(C_d^1),$$

so that the second assertion also follows from Lemma 3.2. \square

Now we are ready to prove Theorems 1.10 and 1.15. To do this, we may assume that C_d is not a union of d lines passing through the point P . Suppose, in addition, that

- (A) either $(\mathbb{P}^2, \lambda_1 C_d)$ is not Kawamata log terminal at P ,
- (B) or $(\mathbb{P}^2, \lambda_2 C_d)$ is not Kawamata log terminal at P .

We will show that (A) implies that either C_d has singularity \mathbb{T}_{d-1} , \mathbb{K}_{d-1} , $\tilde{\mathbb{T}}_{d-1}$ or $\tilde{\mathbb{K}}_{d-1}$ at the point P , or C_d is a Płoski quartic curve. Similarly, we will show that (B) implies that either C_d is GIT-unstable (i.e. C_d is not GIT-semistable), or C_d is an even Płoski curve. If (A) holds, let $\lambda = \lambda_1$. If (B) holds, let $\lambda = \lambda_2$.

If $d = 4$, then $\lambda_1 = \lambda_2$. If $d \geq 5$, then $\lambda_1 < \lambda_2$ by Lemma 3.1(vii). Since C_d is reduced and $\lambda < 1$, the log pair $(\mathbb{P}^2, \lambda C_d)$ is Kawamata log terminal outside of finitely many points. Thus, it is Kawamata log terminal outside of P by Lemma 2.8.

Put $m_0 = \text{mult}_P(C_d)$. Then the log pair $(S_1, \lambda C_d^1 + (\lambda m_0 - 1)E_1)$ is not Kawamata log terminal at some point $P_1 \in E_1$ by Remark 2.10. Note that we have

$$K_{S_1} + \lambda C_d^1 + (\lambda m_0 - 1)E_1 \sim_{\mathbb{Q}} f_1^*(K_{\mathbb{P}^2} + \lambda C_d).$$

Let $f_2: S_2 \rightarrow S_1$ be a blow up of the point P_1 , and let E_2 be its exceptional curve. Denote by C_d^2 the proper transform on S_2 of the curve C_d , and denote by E_1^2 the proper transform on S_2 of the curve E_1 . Put $m_1 = \text{mult}_{P_1}(C_d^1)$. Then

$$K_{S_2} + \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2 \sim_{\mathbb{Q}} f_2^*(K_{S_1} + \lambda C_d^1 + (\lambda m_0 - 1)E_1).$$

By Remark 2.10, the log pair $(S_2, \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is not Kawamata log terminal at some point $P_2 \in E_2$. Let $f_3: S_3 \rightarrow S_2$ be a blow up of this point, and let E_3 be the f_3 -exceptional curve. Denote by C_d^3 the proper transform on S_3 of the curve C_d , denote by E_1^3 the proper transform on S_3 of the curve E_1 , and denote by E_2^3 the proper transform on S_3 of the curve E_2 . Put $m_2 = \text{mult}_{P_2}(C_d^2)$. Then

$$\begin{aligned} K_{S_3} + \lambda C_d^3 + (\lambda m_0 - 1)E_1^3 + \\ + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3 \sim_{\mathbb{Q}} \\ \sim_{\mathbb{Q}} f_3^*(K_{S_2} + \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda_2(m_0 + m_1) - 2)E_2). \end{aligned}$$

Thus, the log pair $(S_3, \lambda C_d^3 + (\lambda m_0 - 1)E_1^3 + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3)$ is not Kawamata log terminal at some point $P_3 \in E_3$ by Remark 2.10. Note that the divisor $\lambda C_d^3 + (\lambda m_0 - 1)E_1^3 + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3$ is effective by Lemma 2.5.

Lemma 3.4. One has $\lambda m_0 < 2$.

Proof. Since C_d is not a union of d lines passing through P , we have $m_0 \leq d - 1$. Thus, if (A) holds, then $\lambda m_0 < 2$ by Lemma 3.1(i), because $d \geq 4$. Similarly, if (B) holds, then $m_0 \leq \frac{2d}{3}$ by Lemma 3.3, which implies that $\lambda m_0 \leq \frac{10}{6} < 2$. \square

Thus, the log pair $(S_1, \lambda C_d^1 + (\lambda m_0 - 1)E_1)$ is Kawamata log terminal outside of P_1 by Lemma 2.13. Note that $P_1 \in C_d^1$, because the log pair $(S_1, (\lambda m_0 - 1)E_1)$ is not Kawamata log terminal at P_1 . Thus, we have $m_1 > 0$.

Let L be the line in \mathbb{P}^2 whose proper transform on S_1 contains the point P_1 . Such a line exists and it is unique. By a suitable linear change of coordinates, we may assume that L is given by $x = 0$. Denote by L^1 the proper transform of the line L on the surface S_1 .

Lemma 3.5. Suppose that (A) holds and $m_0 = d - 1$. Then C_d has singularity \mathbb{K}_{d-1} , $\tilde{\mathbb{K}}_{d-1}$, \mathbb{T}_{d-1} or $\tilde{\mathbb{T}}_{d-1}$ at the point P .

Proof. Suppose that L is not an irreducible component of the curve C_d . Then $m_0 + m_1 \leq d$, because

$$d - 1 - m_0 = C_d^1 \cdot L^1 \geq m_1.$$

Since $m_0 = d - 1$, this gives $m_1 = 1$. Then $P_1 \in C_d^1$ and the curve C_d^1 is smooth at P_1 . Put $k = \text{mult}_{P_1}(C_d^1 \cdot E_1)$. Applying Corollary 2.3 to the log pair $(S_1, \lambda_1 C_d^1 + (\lambda_1 m_0 - 1)E_1)$ at the point P_1 , we get

$$k\lambda_1 m_0 \geq k + 1,$$

which gives $\lambda_1 \geq \frac{2k+1}{kd}$. Then $k \geq d - 2$ by Lemma 3.1(ii). Since

$$k \leq C_d^1 \cdot E_1 = m_0 = d - 1,$$

either $k = d - 1$ or $k = d - 2$. If $k = d - 1$, then C_d has singularity \mathbb{K}_{d-1} at P . If $k = d - 2$, then C_d has singularity $\tilde{\mathbb{K}}_{d-1}$ at the point P .

To complete the proof, we may assume that L is an irreducible component of the curve C_d . Then $C_d = L + C_{d-1}$, where C_{d-1} is a reduced curve in \mathbb{P}^2 of degree $d - 1$ such that L is not its irreducible component. Denote by C_{d-1}^1 its proper transform on S_1 . Put $n_0 = \text{mult}_P(C_{d-1})$ and $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$. Then $n_0 = m_0 - 1 = d - 2$ and $n_1 = m_1 - 1$. This implies that $P_1 \in C_{d-1}^1$, since the log pair $(S_1, \lambda_1 L^1 + (\lambda_1 m_0 - 1)E_1)$ is Kawamata log terminal at P . Hence, $n_1 \geq 1$. On the other hand, we have

$$d - 1 - n_0 = C_{d-1}^1 \cdot L^1 \geq n_1,$$

which implies that $n_0 + n_1 \leq d - 1$. Then $n_1 = 1$, since $n_0 = d - 2$.

We have $P_1 \in C_{d-1}^1$ and C_{d-1}^1 is smooth at P_1 . Moreover, since

$$1 = d - 1 - n_0 = L^1 \cdot C_{d-1}^1 \geq n_1 = 1,$$

the curve C_{d-1}^1 intersects the curve L^1 transversally at the point P_1 . Put $k = \text{mult}_{P_1}(C_{d-1}^1 \cdot E_1)$. Then $k \geq 1$. Applying Lemma 2.2 to the log pair $(S_1, \lambda_1 C_{d-1}^1 + \lambda_1 L^1 + (\lambda_1(n_0 + 1) - 1)E_1)$ at the point P_1 , we get

$$k(\lambda_1(n_0 + 2) - 1) + \lambda_1 \geq k + 1.$$

Then $\lambda_1 \geq \frac{2k+1}{kd+1}$. Then $k \geq d - 3$ by Lemma 3.1(iii). Since

$$k \leq E_1 \cdot C_{d-1}^1 = n_0 = d - 2,$$

either $k = d - 2$ or $k = d - 3$. In the former case, C_d has singularity \mathbb{T}_{d-1} at the point P . In the latter case, C_d has singularity $\tilde{\mathbb{T}}_{d-1}$ at the point P . \square

Lemma 3.6. Suppose that (A) holds and $m_0 \leq d - 2$. Then the line L is not an irreducible component of the curve C_d .

Proof. Suppose that L is an irreducible component of the curve C_d . Let us see for a contradiction. Put $C_d = L + C_{d-1}$, where C_{d-1} is a reduced curve in \mathbb{P}^2 of degree $d - 1$ such that L is not its irreducible component. Denote by C_{d-1}^1 its proper transform on S_1 . Put $n_0 = \text{mult}_P(C_{d-1})$ and $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$. Then $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1 + \lambda_1 C_{d-1}^1)$ is not Kawamata log terminal at P_1 and is Kawamata log terminal outside of the point P_1 . In particular, $n_1 \neq 0$, because $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1)$ is Kawamata log terminal at P_1 . On the other hand,

$$d - 1 - n_0 = L^1 \cdot C_{d-1}^1 \geq n_1,$$

which implies that $n_0 + n_1 \leq d - 1$. Furthermore, we have $n_0 = m_0 - 1 \leq d - 3$.

Since $n_0 + n_1 \geq 2n_1$, we have $n_1 \leq \frac{d-1}{2}$. Then $\lambda n_1 < 1$ by Lemma 3.1(i). Thus, we can apply Theorem 2.16 to the log pair $(S_1, (\lambda_1(n_0 + 1) - 1)E_1 + \lambda_1 L^1 + \lambda_1 C_{d-1}^1)$ at the point P_1 . This gives either

$$\lambda_1(d - 1 - n_0) = \lambda_1 C_{d-1}^1 \cdot L^1 \geq 2(2 - \lambda_1(n_0 + 1))$$

or

$$\lambda_1 n_0 = \lambda_1 C_{d-1}^1 \cdot E_1 \geq 2(1 - \lambda_1)$$

(or both). In the former case, we have $\lambda_1(d + 1 + n_0) \geq 4$. In the latter case, we have $\lambda_1(n_0 + 2) > 2$. Thus, in both cases we have $\lambda_1(d - 1) \geq 2$, since $n_0 \leq d - 3$. But $\lambda_1(d - 1) < 2$ by Lemma 3.1(i). This is a contradiction. \square

If the curve C_d is GIT-semistable, then $m_0 \leq d - 2$ by Lemma 3.3. Thus, it follows from Lemma 3.5 that we may assume that

$$m_0 \leq d - 2$$

in order to complete the proof of Theorems 1.10 and 1.15. Moreover, if L is not an irreducible component of the curve C_d , then

$$d - m_0 = C_d^1 \cdot L^1 \geq m_1.$$

Thus, if **(A)** holds, then $m_0 + m_1 \leq d$ by Lemma 3.6. Similarly, if the curve C_d is GIT-semistable, then $m_0 + m_1 \leq d$ by Lemma 3.3. Thus, to complete the proof of Theorems 1.10 and 1.15, we may also assume that

$$(3.7) \quad m_0 + m_1 \leq d.$$

Then $\lambda(m_0 + m_1) < 3$ by Lemma 3.1(v), so that $(S_2, \lambda C_d^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is Kawamata log terminal outside of the point P_2 by Lemma 2.13. Furthermore, we have

Lemma 3.8. Suppose that $P_2 = E_1^2 \cap E_2$. Then **(A)** does not hold and C_d is GIT-unstable.

Proof. We have $m_0 - m_1 = E_1^2 \cdot C_d^2 \geq m_2$, so that

$$(3.9) \quad m_2 \leq \frac{m_0}{2},$$

because $2m_2 \leq m_1 + m_2$. On the other hand, $m_0 \leq d - 2$ by assumption. Thus, we have $m_2 \leq \frac{d-2}{2}$.

Suppose that **(A)** holds. Then $\lambda = \lambda_1$ and $\lambda_1 m_2 < 1$ by Lemma 3.1(v). Thus, we can apply Theorem 2.16 to the log pair $(S_2, \lambda_1 C_d^2 + (\lambda_1 m_0 - 1)E_1^2 + (\lambda_1(m_0 + m_1) - 2)E_2)$. This gives either

$$\lambda_1(m_0 - m_1) = \lambda_1 C_d^2 \cdot E_1^2 \geq 2(3 - \lambda_1(m_0 + m_1))$$

or

$$\lambda_1 m_1 = \lambda_1 C_d^2 \cdot E_2 \geq 2(2 - \lambda_1 m_0)$$

(or both). The former inequality implies $\lambda_1(3m_0 + m_1) \geq 6$. The latter inequality implies $\lambda_1(2m_0 + m_1) \geq 4$. On the other hand, $m_0 + m_1 \leq d$ by (3.7), and $m_0 \leq d - 2$ by assumption. Thus, $3m_0 + m_1 \leq 3d - 4$ and $2m_0 + m_1 \leq 2d - 2$. Then $\lambda_1(3m_0 + m_1) < 6$ by Lemma 3.1(vi), and $\lambda_1(2m_0 + m_1) < 4$ by Lemma 3.1(i). The obtained contradiction shows that **(A)** does not hold.

We see that **(B)** holds. We have to show that C_d is GIT-unstable. Suppose that this is not the case, so that C_d is GIT-semistable. Let us seek for a contradiction.

By Lemma 3.2, we have $2m_0 + m_1 + m_2 \leq \frac{5d}{3}$, because

$$\text{wt}_{(3,2)}(f_d(x_1, x_2)) = 2m_0 + m_1 + m_2.$$

Thus, we have $\lambda_2(2m_0 + m_1 + m_2) - 4 < 1$ by Lemma 3.1(v). Hence, the log pair $(S_3, \lambda_2 C_d^3 + (\lambda_2 m_0 - 1)E_1^3 + (\lambda_2(m_0 + m_1) - 2)E_2^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3)$ is Kawamata log terminal outside of the point P_3 by Remark 2.10.

If $P_3 = E_1^3 \cap E_3$, then it follows from Theorem 2.12 that

$$\lambda_2(m_0 - m_1 - m_2) = \lambda_2 C_d^3 \cdot E_1^3 > 5 - \lambda_2(2m_0 + m_1 + m_2),$$

which implies that $m_0 > \frac{5}{3\lambda_2} = \frac{2d}{3}$, which is impossible by Lemma 3.3. If $P_3 = E_2^3 \cap E_3$, then it follows from Theorem 2.12 that

$$\lambda_2(m_1 - m_2) = \lambda_2 C_d^3 \cdot E_2^3 > 5 - \lambda_2(2m_0 + m_1 + m_2),$$

which implies that $m_0 + m_1 > \frac{5}{2\lambda_2} = d$, which is impossible by Lemma 3.3. Thus, we see that $P_3 \notin E_1^3 \cup E_2^3$. Then the log pair $(S_3, \lambda_2 C_d^3 + (\lambda_2(2m_0 + m_1 + m_2) - 4)E_3)$ is not Kawamata log terminal at P_3 . Hence, Theorem 2.12 gives

$$\lambda_2 m_2 = \lambda_2 C_d^3 \cdot E_3 > 1,$$

which implies that $m_2 > \frac{1}{\lambda_2} = \frac{2d}{5}$. Then $m_0 > \frac{4d}{5}$ by (3.9), which is impossible by Lemma 3.3. \square

Thus, to complete the proof of Theorems 1.10 and 1.15, we may assume that

$$P_2 \neq E_1^2 \cap E_2.$$

Denote by L^2 the proper transform of the line L on the surface S_2 .

Lemma 3.10. One has $P_2 \neq L^2 \cap E_2$.

Proof. Suppose that $P_2 = L^2 \cap E_2$. If L is not an irreducible component of the curve C_d , then

$$d - m_0 - m_1 = L^2 \cdot E_2 \geq m_2,$$

which implies that $m_0 + m_1 + m_2 \leq d$. Thus, if (A) holds, then $\lambda = \lambda_1$ and L is not an irreducible component of the curve C_d by Lemma 3.6, which implies that

$$\lambda_1 d \geq \lambda_1 (m_0 + m_1 + m_2) > 3$$

by Lemma 2.14. On the other hand, $\lambda_1 d < 3$ by Lemma 3.1(iv). This shows that (B) holds.

Since $\lambda = \lambda_2 = \frac{5}{2d} < \frac{3}{d}$ and $\lambda_2(m_0 + m_1 + m_2) > 3$ by Lemma 2.14, we have $m_0 + m_1 + m_2 > d$. In particular, the line L must be an irreducible component of the curve C_d .

Put $C_d = L + C_{d-1}$, where C_{d-1} is a reduced curve in \mathbb{P}^2 of degree $d - 1$ such that L is not its irreducible component. Denote by C_{d-1}^1 its proper transform on S_1 , and denote by C_{d-1}^2 its proper transform on S_2 . Put $n_0 = \text{mult}_P(C_{d-1})$, $n_1 = \text{mult}_{P_1}(C_{d-1}^1)$ and $n_2 = \text{mult}_{P_2}(C_{d-1}^2)$. Then $(S_2, (\lambda_2(n_0 + n_1 + 2) - 2)E_2 + \lambda_2 L^1 + \lambda_2 C_{d-1}^1)$ is not Kawamata log terminal at P_2 and is Kawamata log terminal outside of the point P_2 . Then Theorem 2.12 implies

$$\lambda_2(d - 1 - n_0 - n_1) = \lambda_2 C_{d-1}^2 \cdot L^2 > 1 - (\lambda_2(n_0 + n_1 + 2) - 2) = 3 - \lambda_2(n_0 + n_1 + 2),$$

which implies that $\frac{5(d+1)}{2d} = \lambda_2(d+1) > 3$. Hence, $d = 4$. Then $\lambda = \lambda_2 = \frac{5}{8}$.

By (3.7), $n_0 + n_1 \leq 2$. Thus, $n_0 = n_1 = n_2 = 1$, since

$$\frac{5}{8}(n_0 + n_1 + n_2 + 3) = \lambda_2(m_0 + m_1 + m_2) > 3$$

by Lemma 2.14. Then C_3 is a irreducible cubic curve that is smooth at P , the line L is tangent to the curve C_3 at the point P , and P is an inflexion point of the cubic curve C_3 . This implies that $\text{lct}_P(\mathbb{P}^2, C_d) = \frac{2}{3}$. Since $\frac{2}{3} > \frac{5}{8} = \lambda_2$, the log pair $(\mathbb{P}^2, \lambda_2 C_d)$ must be Kawamata log terminal at the point P , which contradicts (B). \square

Recall that $m_0 + m_1 \leq d$ by (3.7). Then $m_1 \leq \frac{d}{2}$, since $2m_1 \leq m_0 + m_1$. Thus, we have

$$(3.11) \quad \lambda(m_0 + m_1 + m_2) \leq \lambda(m_0 + 2m_1) \leq \lambda \frac{3d}{2} \leq \lambda_2 \frac{3d}{2} = \frac{15}{4} < 4.$$

Therefore, the log pair $(S_3, \lambda C_d^3 + (\lambda(m_0 + m_1) - 2)E_2^3 + (\lambda(m_0 + m_1 + m_2) - 3)E_3)$ is Kawamata log terminal outside of the point P_3 by Lemma 2.13.

Lemma 3.12. One has $P_3 \neq E_2^3 \cap E_3$.

Proof. If $P_3 = E_2^3 \cap E_3$, then Theorem 2.12 gives

$$\lambda(m_1 - m_2) = \lambda C_d^3 \cdot E_2^3 > 1 - (\lambda(m_0 + m_1 + m_2) - 3) = 4 - \lambda(m_0 + m_1 + m_2),$$

which implies that $\lambda(m_0 + 2m_1) > 4$. But $\lambda(m_0 + 2m_1) < 4$ by (3.11). \square

Let $f_4: S_4 \rightarrow S_3$ be a blow up of the point P_3 , and let E_4 be its exceptional curve. Denote by C_d^4 the proper transform on S_4 of the curve C_d , denote by E_3^4 the proper transform on S_4 of the curve E_3 , and denote by L^4 the proper transform of the line L on the surface S_4 . Then $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2) - 3)E_3^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$ is not Kawamata log terminal at some point $P_4 \in E_4$ by Remark 2.10. Moreover, we have

$$2L^4 + E_1 + 2E_2 + E_3 \sim (f_1 \circ f_2 \circ f_3 \circ f_4)^* \left(\mathcal{O}_{\mathbb{P}^2}(2) \right) - (f_2 \circ f_3 \circ f_4)^*(E_1) - (f_3 \circ f_4)^*(E_2) - f_4^*(E_3) - E_4.$$

Lemma 3.13. The linear system $|2L^4 + E_1 + 2E_2 + E_3|$ is a pencil that does not have base points. Moreover, every divisor in $|2L^4 + E_1 + 2E_2 + E_3|$ that is different from $2L^4 + E_1 + 2E_2 + E_3$ is a smooth curve whose image on \mathbb{P}^2 is a smooth conic that is tangent to L at the point P .

Proof. All assertions follows from $P_2 \notin E_1^2 \cup L^2$ and $P_3 \notin E_2^3$. \square

Let C_2^4 be a general curve in $|2L^4 + E_1 + 2E_2 + E_3|$. Denote by C_2 its image on \mathbb{P}^2 , and denote by \mathcal{L} the pencil generated by $2L$ and C_2 . Then P is the only base point of the pencil \mathcal{L} , and every conic in \mathcal{L} except $2L$ and C_2 intersects C_2 at P with multiplicity 4 (cf. [3, Remark 1.14]).

Lemma 3.14. One has $m_0 + m_1 + m_2 + m_3 \leq m_0 + m_1 + 2m_2 \leq \frac{5}{\lambda}$. If $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$, then d is even and C_d is a union of $\frac{d}{2} \geq 2$ smooth conics in \mathcal{L} , where $d = 4$ if **(A)** holds.

Proof. By (3.7), we have $m_2 + m_3 \leq 2m_2 \leq m_0 + m_1 \leq d$. This gives

$$m_0 + m_1 + m_2 + m_3 \leq m_0 + m_1 + 2m_2 \leq 2d = \frac{5}{\lambda_2} \leq \frac{5}{\lambda}.$$

To complete the proof, we may assume that $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$. Then all inequalities above must be equalities. Thus, we have $m_2 = m_3 = \frac{d}{2}$ and $\lambda_1 = \lambda_2$. In particular, if **(A)** holds, then $d = 4$, because $\lambda_1 < \lambda_2 = \frac{5}{2d}$ for $d \geq 5$ by Lemma 3.1(vii). Moreover, since $m_0 \geq m_1 \geq m_2 = \frac{d}{2}$ and $m_0 + m_1 \leq d$, we see that $m_0 = m_1 = \frac{d}{2}$. Thus, d is even and

$$C_d^4 \sim \frac{d}{2} (2L^4 + E_1 + 2E_2 + E_3),$$

where $d = 4$ if **(A)** holds. Since $|2L^4 + E_1 + 2E_2 + E_3|$ is a free pencil and C_d^4 is reduced, it follows from Lemma 3.13 that C_d^4 is a union of $\frac{d}{2}$ smooth curves in $|2L^4 + E_1 + 2E_2 + E_3|$. In particular, L^4 is not an irreducible component of C_d^4 . Thus, the curve C_d is a union of $\frac{d}{2}$ smooth conics in \mathcal{L} , where $d = 4$ if **(A)** holds. \square

We see that $m_0 + m_1 + m_2 + m_3 \leq \frac{5}{\lambda}$. Moreover, if $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$, then C_d is an even Płoski curve. Furthermore, if $m_0 + m_1 + m_2 + m_3 = \frac{5}{\lambda}$ and **(A)** holds, then $d = 4$. Thus, to prove Theorems 1.10 and 1.15, we may assume that

$$m_0 + m_1 + m_2 + m_3 < \frac{5}{\lambda}.$$

Let us show that this assumption leads to a contradiction. By Lemma 2.13, this inequality implies that the log pair $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2) - 3)E_3^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$ is Kawamata log terminal outside of the point P_4 .

Lemma 3.15. One has $P_4 \neq E_3^4 \cap E_4$.

Proof. By Lemma 3.14, $m_0 + m_1 + 2m_2 \leq \frac{5}{\lambda}$. If $P_4 = E_3^4 \cap E_4$, then Theorem 2.12 gives

$$\lambda(m_2 - m_3) = \lambda C_d^4 \cdot E_3^4 > 5 - \lambda(m_0 + m_1 + m_2 + m_3),$$

which implies that $m_0 + m_1 + 2m_2 > \frac{5}{\lambda}$. This shows that $P_4 \neq E_3^4 \cap E_4$. \square

Thus, the log pair $(S_4, \lambda C_d^4 + (\lambda(m_0 + m_1 + m_2 + m_3) - 4)E_4)$ is not Kawamata log terminal at P_4 and is Kawamata log terminal outside of the point P_4 .

Let Z^4 be the curve in $|2L^4 + E_1 + 2E_2 + E_3|$ that passes through the point P_4 . Then Z^4 is a smooth irreducible curve by Lemma 3.10. Denote by Z the proper transform of this curve on \mathbb{P}^2 . Then Z is a smooth conic in the pencil \mathcal{L} by Lemma 3.13. If Z is not an irreducible component of the curve C_d , then

$$2d - (m_0 + m_1 + m_2 + m_3) = Z^4 \cdot C_d^4 \geq \text{mult}_{P_4}(C_d^4).$$

On the other hand, it follows from Lemma 2.14 that

$$\text{mult}_{P_4}(C_d^4) + m_0 + m_1 + m_2 + m_3 > \frac{5}{\lambda}.$$

This shows that Z is an irreducible component of the curve C_d , since $\lambda \leq \lambda_2 = \frac{5}{2d}$.

Put $C_d = Z + C_{d-2}$, where C_{d-2} is a reduced curve in \mathbb{P}^2 of degree $d-2$ such that Z is not its irreducible component. Denote by C_{d-2}^1 , C_{d-2}^2 , C_{d-2}^3 and C_{d-2}^4 its proper transforms on the surfaces S_1 , S_2 , S_3 and S_4 , respectively. Put $n_0 = \text{mult}_P(C_{d-2})$, $n_1 = \text{mult}_{P_1}(C_{d-2}^1)$, $n_2 = \text{mult}_{P_2}(C_{d-2}^2)$, $n_3 = \text{mult}_{P_3}(C_{d-2}^3)$ and $n_4 = \text{mult}_{P_4}(C_{d-2}^4)$. Then

$$\left(S_4, \lambda C_{d-2}^4 + \lambda Z^4 + (\lambda(n_0 + n_1 + n_2 + n_3 + 4) - 4)E_4 \right)$$

is not Kawamata log terminal at P_4 and is Kawamata log terminal outside of the point P_4 . Thus, applying Theorem 2.12, we get

$$\lambda(2(d-2) - n_0 - n_1 - n_2 - n_3) = \lambda C_{d-2}^4 \cdot Z^4 > 5 - \lambda(n_0 + n_1 + n_2 + n_3 + 4),$$

which implies that $\lambda > \frac{5}{2d}$. This is impossible, since $\lambda \leq \lambda_2 = \frac{5}{2d}$.

The obtained contradiction completes the proof of Theorems 1.10 and 1.15.

4. SMOOTH SURFACES IN \mathbb{P}^3

The purpose of this section is to prove Theorem 1.17. Let S be a smooth surface in \mathbb{P}^3 of degree $d \geq 3$, let H_S be its hyperplane section, let P be a point in S , let T_P be the hyperplane section of the surface S that is singular at P . Note that T_P is reduced by Lemma 2.6. Put $\lambda = \frac{2d-3}{d(d-2)}$. Then Theorem 1.17 follows from Theorem 1.10, Remark 2.4 and

Proposition 4.1. Let D be any effective \mathbb{Q} -divisor on S such that $D \sim_{\mathbb{Q}} H_S$. Suppose that $\text{Supp}(D)$ does not contain at least one irreducible component of the curve T_P . Then $(S, \lambda D)$ is log canonical at P .

For $d = 3$, this result is just [3, Corollary 1.13]. In the remaining part of the section, we will prove Proposition 4.1. Note that we will do this *without* using [3, Corollary 1.13]. Let us start with

Lemma 4.2. The following assertions hold:

- (i) $\lambda \leq \frac{2}{d-1}$,
- (ii) if $d \geq 5$, then $\lambda \leq \frac{3}{d+1}$,
- (iii) if $d \geq 5$, then $\lambda \leq \frac{4}{d+3}$,
- (iv) If $d \geq 6$, then $\lambda \leq \frac{3}{d+2}$,
- (v) $\lambda \leq \frac{4}{d+1}$,
- (vi) $\lambda \leq \frac{3}{d}$.

Proof. The equality $\frac{2}{d-1} = \lambda + \frac{d-3}{d(d-1)(d-2)}$ implies (i), $\frac{4}{d+1} = \lambda + \frac{d^2-5d+3}{d(d+1)(d-2)}$ implies (ii), and $\frac{4}{d+3} = \lambda + \frac{2d^2-11d+9}{d(d+3)(d-2)}$ implies (iii). Similarly, (iv) follows from $\frac{3}{d+2} = \lambda + \frac{d^2-7d+6}{d(d^2-4)}$, (v) follows from $\frac{4}{d+1} = \lambda + \frac{2d^2-7d+3}{d(d+1)(d-2)}$, and (vi) follows from $\frac{3}{d} = \lambda + \frac{d-3}{d(d-2)}$. \square

Let n be the number of irreducible components of the curve T_P . Write

$$T_P = T_1 + \cdots + T_n,$$

where each T_i is an irreducible curve on the surface S . For every curve T_i , we denote its degree by d_i , and we put $t_i = \text{mult}_P(T_i)$.

Lemma 4.3. Suppose that $n \geq 2$. Then

$$T_i \cdot T_i = -d_i(d - d_i - 1)$$

for every T_i , and $T_i \cdot T_j = d_i d_j$ for every T_i and T_j such that $T_i \neq T_j$.

Proof. The curve T_P is cut out on S by a hyperplane $H \subset \mathbb{P}^3$. Then $H \cong \mathbb{P}^2$. Hence, for every T_i and T_j such that $T_i \neq T_j$, we have $(T_i \cdot T_j)_S = (T_i \cdot T_j)_H = d_i d_j$. In particular, we have

$$d_1 = T_P \cdot T_1 = T_1^2 + \sum_{i=2}^n T_i \cdot T_1 = T_1^2 + \sum_{i=2}^n d_i d_1 = T_1^2 + (d - d_1) d_1,$$

which gives $T_1 \cdot T_1 = -d_1(d - d_1 - 1)$. Similarly, we see that $T_i \cdot T_i = -d_i(d - d_i - 1)$ for every curve T_i . \square

Let D be any effective \mathbb{Q} -divisor on S such that $D \sim_{\mathbb{Q}} H_S$. Write

$$D = \sum_{i=1}^n a_i T_i + \Delta,$$

where each a_i is a non-negative rational number, and Δ is an effective \mathbb{Q} -divisor on S whose support does not contain the curves T_1, \dots, T_n . To prove Proposition 4.1, it is enough to show that the log pair $(S, \lambda D)$ is log canonical at P provided that at least one number among a_1, \dots, a_n vanishes.

Without loss of generality, we may assume that $a_n = 0$. Suppose that the log pair $(S, \lambda D)$ is not log canonical at P . Let us seek for a contradiction.

Lemma 4.4. Suppose that $n \geq 2$. Then

$$\sum_{i=1}^k a_i d_i d_n \leq d_n - t_n \text{mult}_P(\Delta).$$

In particular, $\sum_{i=1}^k a_i d_i \leq 1$ and each a_i does not exceed $\frac{1}{d_i}$.

Proof. One has

$$d_n = T_n \cdot D = T_n \cdot \left(\sum_{i=1}^n a_i T_i + \Delta \right) = \sum_{i=1}^n a_i d_i d_n + T_n \cdot \Delta \geq \sum_{i=1}^n a_i d_i d_n + t_n \text{mult}_P(\Delta),$$

which implies the required inequality. \square

Put $m_0 = \text{mult}_P(D)$.

Lemma 4.5. Suppose that $P \in T_n$. Then $d_n > \frac{d-1}{2}$. If $n \geq 2$, then T_n is smooth at P .

Proof. Since T_n is not contained in the support of the divisor D , we have

$$d \geq d_n = T_n \cdot D \geq t_n m_0,$$

which implies that $m_0 \leq \frac{d_n}{t_n}$. Since $m_0 > \frac{1}{\lambda}$ by Lemma 2.5, we have $d_n > \frac{d-1}{2}$ by Lemma 4.2(i). Moreover, if $n \geq 2$ and $t_n \geq 2$, then it follows from Lemma 2.5 that

$$\frac{1}{\lambda} < m_0 \leq \frac{d_n}{t_n} \leq \frac{d-1}{t_n} \leq \frac{d-1}{2},$$

which is impossible by Lemma 4.2(i). \square

Now we are going to use Theorem 2.15 to prove

Lemma 4.6. Suppose that $n \geq 3$ and P is contained in at least two irreducible components of the curve T_P that are different from T_n and that are both smooth at P . Then they are tangent to each other at P .

Proof. Without loss of generality, we may assume that $P \in T_1 \cap T_2$ and $t_1 = t_2 = 1$. Suppose that T_1 and T_2 are not tangent to each other at P . Put $\Omega = \sum_{i=3}^n a_i T_i + \Delta$, so that $D = a_1 T_1 + a_2 T_2 + \Omega$. Then $a_1 d_1 + a_2 d_2 \leq 1$ by Lemma 4.4.

Put $k_0 = \text{mult}(\Omega)$. Then

$$d_1 + a_1 d_1 (d - d_1 - 1) - a_2 d_1 d_2 = \Omega \cdot T_1 \geq k_0$$

by Lemma 4.3. Similarly, we have

$$d_2 - a_1 d_1 d_2 + a_2 d_2 (d - d_2 - 1) = \Omega \cdot T_2 \geq k_0.$$

Adding these two inequalities together and using $a_1 d_1 + a_2 d_2 \leq 1$, we get

$$2k_0 \leq d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) \leq d_1 + d_2 + (d - d_1 - d_2 - 1) = d - 1.$$

Thus, $k_0 \leq \frac{1}{\lambda}$ by Lemma 4.2(i).

Since $\lambda k_0 \leq 1$, we can apply Theorem 2.15 to the log pair $(S, \lambda a_1 T_1 + \lambda a_2 T_2 + \lambda \Omega)$ at the point P . This gives either $\lambda \Omega \cdot T_1 > 2(1 - \lambda a_2)$ or $\lambda \Omega \cdot T_2 > 2(1 - \lambda a_1)$. Without loss of generality, we may assume that $\lambda \Omega \cdot T_2 > 2(1 - \lambda a_1)$. Then

$$(4.7) \quad d_2 + a_2 d_2 (d - d_2 - 1) - a_1 d_1 d_2 = \Omega \cdot T_2 > \frac{2}{\lambda} - 2a_1.$$

Applying Theorem 2.12 to the log pair $(S, \lambda a_1 T_1 + \lambda b_1 T_2 + \lambda \Omega)$ and the curve T_1 at the point P , we get

$$d_1 + a_1 d_1 (d - d_1 - 1) = (\lambda a_2 T_2 + \lambda \Omega) \cdot T_1 > \frac{1}{\lambda}.$$

Adding this inequality to (4.7), we get

$$d + 1 \geq d - 1 + 2a_1 \geq d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) + 2a_1 > \frac{3}{\lambda},$$

because $a_1 d_1 + a_2 d_2 \leq 1$. Thus, it follows from Lemma 4.2(ii) that either $d = 3$ or $d = 4$.

If $d = 3$, then $n = 3$ and $d_1 = d_2 = d_3 = \lambda = 1$, which implies that $a_1 + a_2 > 1$ by (4.7). On the other hand, we know that $a_1 d_1 + a_2 d_2 \leq 1$, so that $a_1 + a_2 \leq 1$. This shows that $d \neq 3$.

We see that $d = 4$. Then $\lambda = \frac{5}{8}$ and $d_1 + d_2 \leq 3$. If $d_1 = d_2 = 1$, then (4.7) gives $2a_2 + a_1 > \frac{11}{5}$. If $d_1 = 1$ and $d_2 = 2$, then (4.7) gives $a_2 > \frac{3}{5}$. If $d_1 = 2$ and $d_2 = 1$, then (4.7) gives $a_2 > \frac{11}{5}$. All these three inequalities are inconsistent, because $a_1 d_1 + a_2 d_2 \leq 1$. The obtained contradiction completes the proof of the lemma. \square

Note that every line contained in the surfaces S that passes through P must be an irreducible component of the curve T_P . Moreover, the curve T_n cannot be a line by Lemma 4.5. Thus, Lemma 4.6 implies that there exists at most one line in S that passes through P . In particular, we see that $n < d$.

Lemma 4.8. Suppose that $n \geq 3$ and P is contained in at least two irreducible components of the curve T_P that are different from T_n . Then these curves are smooth at P .

Proof. Without loss of generality, we may assume that $P \in T_1 \cap T_2$ and $t_1 \leq t_2$. We have to show that $t_1 = t_2 = 1$. We may assume that $d \geq 5$, because the required assertion is obvious in the cases $d = 3$ and $d = 4$.

Put $\Omega = \sum_{i=3}^n a_i T_i + \Delta$ and put $k_0 = \text{mult}_P(\Omega)$. Then $m_0 = k_0 + a_1 t_1 + a_2 t_2$. Moreover, we have $a_1 d_1 + a_2 d_2 \leq 1$ by Lemma 4.4. On the other hand, it follows from Lemma 4.3 that

$$d - 1 \geq d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) = \Omega \cdot (T_1 + T_2) \geq k_0(t_1 + t_2),$$

because $a_1 d_1 + a_2 d_2 \leq 1$. Thus, we have $k_0 \leq \frac{d-1}{t_1+t_2}$. Hence, if $t_1 + t_2 \geq 4$, then

$$m_0 = k_0 + a_1 t_1 + a_2 t_2 \leq k_0 + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{t_1+t_2} + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{t_1+t_2} + 1 \leq \frac{d+3}{4}$$

because $a_1 d_1 + a_2 d_2 \leq 1$. Since $m_0 > \frac{1}{\lambda}$ by Lemma 2.5, the inequality $m_0 \leq \frac{d+3}{4}$ gives $\lambda > \frac{d+3}{4}$, which is impossible by Lemma 4.2(iii). Thus, $t_1 + t_2 \leq 3$. Since $t_1 \leq t_2$, we have $t_1 = 1$ and $t_2 \leq 2$.

To complete the proof of the lemma, we have to prove that $t_2 = 1$. Suppose $t_2 \neq 1$. Then $t_2 = 2$, since $t_1 + t_2 \leq 3$. Since $k_0 \leq \frac{d-1}{t_1+t_2} = \frac{d-1}{3}$ and $a_1 d_1 + a_2 d_2 \leq 1$, we have

$$m_0 = k_0 + a_1 t_1 + a_2 t_2 \leq k_0 + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{32} + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{t_1+t_2} + 1 = \frac{d+2}{3}.$$

On the other hand, $m_0 > \frac{1}{\lambda}$ by Lemma 2.5, so that $\lambda > \frac{3}{d+2}$. Then $d = 5$ by Lemma 4.2(iv).

Since $d = 5$, $t_1 = 1$ and $t_2 = 2$, we have $n = 3$, $d_1 = 1$, $d_2 = 3$ and $d_3 = 1$. Applying Theorem 2.12 to the log pair $(S, \lambda a_1 T_1 + \lambda a_2 T_2 + \lambda \Omega)$, we get

$$1 + 3a_1 = d_1 + a_2 d_1 (d - d_1 - 1) = (\lambda a_2 T_2 + \lambda \Omega) \cdot T_1 > \frac{1}{\lambda} = \frac{15}{7},$$

which gives $a_1 > \frac{8}{21}$. On the other hand, $a_1 + 3a_2 \leq 1$, because $a_1 d_1 + a_2 d_2 \leq 1$. Since $m_0 > \frac{1}{\lambda} = \frac{15}{7}$ by Lemma 2.5, we see that

$$\begin{aligned} \frac{15}{7} - \frac{1}{9} &= \frac{128}{63} > \frac{8 - 5a_1}{3} = \frac{3 - a_1 + \frac{7(1-a_1)}{3}}{2} = \frac{3 - a_1 + 7a_2}{2} = \frac{3 - 3a_1 + 3a_2}{2} + a_1 + 2a_2 = \\ &= \frac{\Delta \cdot T_2}{2} + a_1 + 2a_2 \geq \frac{\text{mult}_P(\Delta \cdot T_2)}{2} + a_1 + 2a_2 \geq \frac{t_2 k_0}{2} + a_1 + 2a_2 = k_0 + a_1 + 2a_2 = m_0 > \frac{15}{7}, \end{aligned}$$

which is absurd. \square

Now we are ready to prove

Lemma 4.9. One has $m_0 \leq \frac{d+1}{2}$.

Proof. Suppose that $m_0 > \frac{d+1}{2}$. Let us seek for a contradiction. If $n = 1$, then

$$d = T_n \cdot D \geq 2m_0,$$

which implies that $m_0 \leq \frac{d}{2}$. Thus, have $n \geq 2$. Then $a_1 \leq \frac{1}{d_1}$ by Lemma 4.4. Moreover, either $t_n = 0$ or $t_n = 1$ by Lemma 4.5. Hence, there is an irreducible component of T_P that passes through P and is different from T_n , because T_P is singular at P . Without loss of generality, we may assume that $t_1 \geq 1$.

Put $\Upsilon = \sum_{i=2}^n a_i T_i + \Delta$, so that $D = a_1 T_1 + \Upsilon$. Put $n_0 = \text{mult}_P(\Upsilon)$, so that $m_0 = n_0 + a_1 t_1$. Then $t_n n_0 \leq d_n - a_1 d_1 d_n$ by Lemma 4.4, and

$$(4.10) \quad d_1 + a_1 d_1 (d - d_1 - 1) = \Upsilon \cdot T_1 \geq t_1 n_0$$

by Lemma 4.3. Adding these two inequalities, we get $(t_1 + t_n)n_0 \leq d_1 + d_n + a_1 d_1 (d - d_1 - d_n - 1)$. Hence, if $n \geq 3$ and $t_n = 1$, then

$$2n_0 \leq (t_1 + t_n)n_0 \leq d_1 + d_n + a_1 d_1 (d - d_1 - d_n - 1) \leq d - 1 \leq d - a_1 d_1,$$

because $a_1 \leq \frac{1}{d_1}$. Similarly, if $n = 2$ and $t_n = 1$, then

$$2n_0 \leq (t_1 + t_n)n_0 \leq d_1 + d_2 + a_1 d_1 (d - d_1 - d_2 - 1) = d_1 + d_2 - a_1 d_1 = d - a_1 d_1.$$

Thus, if $t_n = 1$, then $n_0 \leq \frac{d - a_1 d_1}{2}$, which is impossible. Indeed, the inequality $n_0 \leq \frac{d - a_1 d_1}{2}$ gives

$$\frac{d+1}{2} < m_0 = n_0 + a_1 t_1 \leq n_0 + a_1 d_1 \leq \frac{d - a_1 d_1}{2} + a_1 d_1 = \frac{d + a_1 d_1}{2} \leq \frac{d+1}{2},$$

because $a_1 \leq \frac{1}{d_1}$. This shows that $t_n = 0$.

If $t_1 \geq 2$, then it follows from (4.10) that

$$\frac{d+1}{2} < m_0 \leq n_0 + a_1 d_1 \leq \frac{d_1 + a_1 d_1 (d - d_1 - 1)}{2} + a_1 d_1 = \frac{d_1 + a_1 d_1 (d - d_1 + 1)}{2} \leq \frac{d+1}{2},$$

because $a_1 \leq \frac{1}{d_1}$. This shows that $t_1 = 1$.

Since $t_1 = 1$ and $t_n = 0$, there exists an irreducible component of the curve T_P that passes through P and is different from T_1 and T_n . In particular, we have $n \geq 3$. Without loss of generality, we may assume $P \in T_2$. Then T_2 is smooth at P by Lemma 4.8.

Put $\Omega = \sum_{i=3}^n a_i T_i + \Delta$ and put $k_0 = \text{mult}_P(\Omega)$. Then $a_1 d_1 + a_2 d_2 \leq 1$ by Lemma 4.4. Thus, it follows from Lemma 4.3 that

$$2k_0 \leq \Omega \cdot (T_1 + T_2) = d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) \leq d - 1,$$

which implies $k_0 \leq \frac{d-1}{2}$. Then

$$\frac{d+1}{2} < m_0 = k_0 + a_1 t_1 + a_2 t_2 \leq k_0 + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{2} + a_1 d_1 + a_2 d_2 \leq \frac{d-1}{2} + 1 = \frac{d+1}{2},$$

because $a_1 d_1 + a_2 d_2 \leq 1$. The obtained contradiction completes the proof of the lemma. \square

Let $f_1: S_1 \rightarrow S$ be a blow up of the point P , and let E_1 be its exceptional curve. Denote by D^1 the proper transform of the \mathbb{Q} -divisor D on the surface S_1 . Then

$$K_{S_1} + \lambda D^1 + (\lambda m_0 - 1)E_1 \sim_{\mathbb{Q}} f_1^*(K_S + \lambda D),$$

which implies that $(S_1, \lambda D^1 + (\lambda m_0 - 1)E_1)$ is not log canonical at some point $P_1 \in E_1$.

By Lemma 4.9, we have $m_0 \leq \frac{d+1}{2}$. By Lemma 4.2(v), we have $\lambda \leq \frac{4}{d+1}$. This gives $\lambda m_0 \leq 2$. Thus, the log pair $(S_1, \lambda D^1 + (\lambda m_0 - 1)E_1)$ is log canonical at every point of the curve E_1 that is different from P_1 by Lemma 2.13.

Put $m_1 = \text{mult}_{P_1}(D^1)$. Then Lemma 2.5 gives

$$(4.11) \quad m_0 + m_1 > \frac{2}{\lambda}.$$

For each curve T_i , denote by T_i^1 its proper transform on S_1 . Put $T_P^1 = \sum_{i=1}^n T_i^1$.

Lemma 4.12. One has $P_1 \notin T_P^1$.

Proof. Suppose that $P_1 \in T_P^1$. Let us seek for a contradiction. If T_P is irreducible, then

$$d - 2m_0 = T_P^1 \cdot D^1 \geq m_1,$$

so that $m_1 + 2m_0 \leq d$. This inequality gives

$$\frac{3}{\lambda} < m_1 + 2m_0 \leq d,$$

because $2m_0 \geq m_0 + m_1 > \frac{2}{\lambda}$ by (4.11). This shows that T_P is reducible, because $\lambda \leq \frac{3}{d}$ by Lemma 4.2(vi).

We see that $n \geq 2$. If $P_1 \in T_n^1$, then

$$d - 1 - m_0 \geq d_n - m_0 = d_n - m_0 t_n = T_n^1 \cdot D^1 \geq m_1,$$

which is impossible, because $m_0 + m_1 > \frac{2}{\lambda}$ by (4.11), and $\lambda \leq \frac{2}{d-1}$ by Lemma 4.2(i). Thus, we see that $P_1 \notin T_n^1$.

Without loss of generality, we may assume that $P_1 \in T_1^1$. Put $\Upsilon = \sum_{i=2}^n a_i T_i + \Delta$, and denote by Υ^1 the proper transform of the \mathbb{Q} -divisor Ω on the surface S_1 . Put $n_0 = \text{mult}_P(\Upsilon)$, put $n_1 = \text{mult}_{P_1}(\Omega^1)$ and put $t_1^1 = \text{mult}_{P_1}(T_1^1)$. Then

$$d_1 + a_1 d_1 (d - d_1 - 1) - n_0 t_1 = T_1^1 \cdot \Upsilon^1 \geq t_1^1 n_1,$$

which implies that $n_0 t_1 + n_1 t_1^1 \leq d_1 + a_1 d_1 (d - d_1 - 1)$.

Note that $t_1^1 \leq t_1$. Moreover, we have $a_1 \leq \frac{1}{d_1}$ by Lemma 4.4. Thus, if $t_1^1 \geq 2$, then

$$2(n_0 + n_1) \leq t_1^1(n_0 + n_1) \leq n_0 t_1 + n_1 t_1^1 \leq d_1 + a_1 d_1 (d - d_1 - 1) \leq d_1 + (d - d_1 - 1) = d - 1,$$

which implies that $n_0 + n_1 \leq \frac{d-1}{2}$. Moreover, if $n_0 + n_1 \leq \frac{d-1}{2}$, then it follows from (4.11) that

$$\frac{d+3}{2} = 2 + \frac{d-1}{2} \geq 2a_1 d_1 + \frac{d-1}{2} \geq 2a_1 t_1 + \frac{d-1}{2} \geq a_1(t_1 + t_1^1) + n_0 + n_1 = m_0 + m_1 > \frac{2}{\lambda}$$

which gives $d \leq 4$ by Lemma 4.2(iii). Thus, if $d \geq 5$, then $t_1^1 = 1$. Furthermore, if $d \leq 4$, then $d_1 \leq 3$, which implies that $t_1^1 \leq 1$. This shows that $t_1^1 = 1$ in all cases. Thus, the curve T_1^1 is smooth at P_1 .

Applying Theorem 2.11 to the log pair $(S_1, \lambda \Upsilon^1 + \lambda a_1 T_1^1 + (\lambda(n_0 + a_1 t_1) - 1)E_1)$, we see that

$$\lambda(d - 1 - n_0 t_1) \geq \lambda(d_1 + a_1 d_1 (d - d_1 - 1) - n_0 t_1) = \lambda \Omega^1 \cdot T_1^1 > 2 - \lambda(n_0 + a_1 t_1),$$

because $a_1 \leq \frac{1}{d_1}$. Thus, we have $d - 1 + a_1 t_1 - n_0(t_1 - 1) > \frac{2}{\lambda}$. But $m_0 = a_1 t_1 + n_0 > \frac{1}{\lambda}$ by Lemma 2.5. Adding these inequalities together, we obtain

$$(4.13) \quad d - 1 + 2a_1 t_1 - n_0(t_1 - 2) > \frac{3}{\lambda}.$$

If $t_1 \geq 2$, this gives

$$d + 1 \geq d - 1 + 2a_1 d_1 \geq d - 1 + 2a_1 t_1 \geq d - 1 + 2a_1 t_1 - n_0(t_1 - 2) > \frac{3}{\lambda}.$$

because $a_1 \leq \frac{1}{d_1}$. On the other hand, if $d \geq 5$, then $\lambda \leq \frac{3}{d+1}$ by Lemma 4.2(ii). Thus, if $d \geq 5$, then $t_1 = 1$. Moreover, if $d = 3$, then $d_1 \leq 2$, which implies that $t_1 = 1$ as well. Furthermore, if $d = 4$ and $t_1 \neq 1$, then $d_1 = 3$, $t_1 = 2$, $\lambda = \frac{5}{8}$, which implies that

$$\frac{1}{3} = \frac{1}{d_1} \geq a_1 > \frac{9}{20}$$

by (4.13). Thus, we see that $t_1 = 1$ in all cases. This simply means that the curve T_1 is smooth at the point P .

Since $a_1 \leq \frac{1}{d_1}$, we have

$$d - 1 - n_0 \geq d_1 + a_1 d_1 (d - d_1 - 1) - n_0 = \Omega^1 \cdot T_1^1 \geq n_1,$$

which implies that $n_1 \leq \frac{n_0 + n_1}{2} \leq \frac{d-1}{2}$. Then $\lambda n_1 \leq 1$ by Lemma 4.2(i). Hence, we can apply Theorem 2.15 to the log pair $(S_1, \lambda \Upsilon^1 + \lambda a_1 T_1^1 + (\lambda(n_0 + a_1 t_1) - 1)E_1)$ at the point P_1 . This gives either

$$\Upsilon^1 \cdot T_1^1 > \frac{4}{\lambda} - 2(n_0 + a_1)$$

or $\Upsilon^1 \cdot E_1 > \frac{2}{\lambda} - 2a_1$ (or both). Since $a_1 \leq \frac{1}{d_1}$, the former inequality gives

$$d - 1 - n_0 \geq d_1 + a_1 d_1 (d - d_1 - 1) - n_0 = \Upsilon^1 \cdot T_1^1 > \frac{4}{\lambda} - 2(n_0 + a_1).$$

Similarly, the latter inequality gives

$$n_0 = \lambda \Upsilon^1 \cdot E_1 > \frac{2}{\lambda} - 2a_1.$$

Thus, either $d - 1 + 2a_1 + n_0 > \frac{4}{\lambda}$ or $2a_1 + n_0 > \frac{2}{\lambda}$ (or both).

If $t_n \geq 1$, then $d_n \neq 1$ by Lemma 4.5. Thus, if $t_n \geq 1$, then

$$d - 1 \geq d_n \geq a_1 d_1 d_n + n_0 \geq 2a_1 + n_0$$

by Lemma 4.4. Therefore, if $t_n \geq 1$, then

$$2(d - 1) \geq d - 1 + 2a + n_0 > \frac{4}{\lambda}$$

or $d - 1 \geq 2a + n_0 > \frac{2}{\lambda}$, because $d - 1 + 2a + n_0 > \frac{4}{\lambda}$ or $2a + n_0 > \frac{2}{\lambda}$. In both cases, we get $\lambda > \frac{d-1}{2}$, which is impossible by Lemma 4.2(i). This shows that $t_n = 0$, so that $P \notin T_n$.

Since T_1 is smooth at P and $P \notin T_n$, there must be another irreducible component of T_P passing through P that is different from T_1 and T_n . In particular, we see that $n \geq 3$. Without loss of generality, we may assume that $P \in T_2$. Then T_2 is smooth at P by Lemma 4.8, so that $t_2 = 1$. Moreover, the curves T_1 and T_2 are tangent at P by Lemma 4.6, which implies that $d \geq 4$. Since $P_1 \in T_1^1$, we see that $P_1 \in T_2^1$ as well.

Put $\Omega = \sum_{i=3}^n a_i T_i + \Delta$ and $k_0 = \text{mult}_P(\Omega)$, so that $m_0 = k_0 + a_1 + a_2$. Then $a_1 d_1 + a_2 d_2 \leq 1$ by Lemma 4.4.

Denote by Ω^1 the proper transform of the \mathbb{Q} -divisor Ω on the surface S_1 . Put $k_1 = \text{mult}_{P_1}(\Omega^1)$. Then

$$d - 1 - 2k_0 \geq d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) - 2k_0 = \Omega^1 \cdot (T_1^1 + T_2^1) \geq 2k_1$$

because $a_1d_1 + a_2d_2 \leq 1$ and $d \geq d_1 + d_2 + d_n \geq d_1 + d_2 + 1$. This gives $k_0 + k_1 \leq \frac{d-1}{2}$. On the other hand, we have

$$2a_1 + 2a_2 + k_0 + k_1 = m_0 + m_1 > \frac{2}{\lambda}$$

by (4.11). Thus, we have

$$\frac{d+3}{2} = 2 + \frac{d-1}{2} \geq 2(a_1d_1 + a_2d_2) + \frac{d-1}{2} \geq 2a_1 + 2a_2 + \frac{d-1}{2} \geq 2a_1 + 2a_2 + k_0 + k_1 > \frac{2}{\lambda}$$

because $a_1d_1 + a_2d_2 \leq 1$. By Lemma 4.2(iii) this gives $d = 4$. Thus, we have $\lambda = \frac{5}{8}$.

Since $d = 4 > n \geq 3$, we have $n = 3$. Without loss of generality, we may assume that $d_1 \leq d_2$. By Lemma 4.6, there exists at most one line in S that passes through P . This shows that $d_1 = 1$, $d_2 = 2$ and $d_3 = 1$. Thus, T_1 and T_3 are lines, T_2 is a conic, T_1 is tangent to T_2 at P , and T_3 does not pass through P . In particular, the curves T_1^1 and T_1^2 intersect each other transversally at P_1 .

By Lemma 4.3, we have $T_1 \cdot T_1 = T_2 \cdot T_2 = -2$ and $T_1 \cdot T_2 = 2$. On the other hand, the log pair $(S_1, \lambda a_1 T_1^1 + \lambda a_2 T_2^1 + \lambda \Omega^1 + (\lambda(a_1 + a_2 + k_0) - 1)E_1)$ is not log canonical at the point P_1 . Thus, applying Theorem 2.11 to this log pair and the curve T_1^1 , we get

$$\lambda(1 + 2a_1 - 2a_2 - k_0) = \lambda \Omega^1 \cdot T_1^1 > 2 - \lambda(a_1 + a_2 + k_0) - \lambda a_2,$$

which implies that $3a_1 > \frac{2}{\lambda} - 1 = \frac{11}{5}$, because $\lambda = \frac{5}{8}$. Similarly, applying Theorem 2.11 to this log pair and the curve T_2^1 , we get

$$\lambda(2 - 2a_1 + 2a_2 - k_0) = \lambda \Omega^1 \cdot T_2^1 > 2 - \lambda(a_1 + a_2 + k_0) - \lambda a_1,$$

which implies that $3a_2 > \frac{2}{\lambda} - 2 = \frac{6}{5}$. Hence, we have $a_1 > \frac{11}{15}$ and $a_2 > \frac{2}{5}$, which is impossible, since $a_1 + 2a_2 = a_1d_1 + a_2d_2 \leq 1$. The obtained contradiction completes the proof of the lemma. \square

Now we are going to show that the curve T_P has at most two irreducible components. This follows from

Lemma 4.14. One has $n \geq 2$ and $\text{mult}_P(T_P) = 2$. Moreover, if $n = 2$, then $P \in T_1 \cap T_2$, both curves T_1 and T_2 are smooth at P , and $d_1 \leq d_2$.

Proof. If T_P is irreducible and $\text{mult}_P(T_P) \geq 3$, then Lemma 2.5 gives

$$d = T_P \cdot D \geq 3m_0 > \frac{3}{\lambda},$$

which is impossible by Lemma 4.2(vi). Thus, if $n = 1$, then $\text{mult}_P(T_P) = 2$.

To complete the proof, we may assume that $n \geq 2$. Then $t_n = 0$ or $t_n = 1$ by Lemma 4.5. In particular, there exists an irreducible component of the curve T_P different from T_n that passes through P . Without loss of generality, we may assume that $P \in T_1$.

Put $\Upsilon = \sum_{i=2}^n a_i T_i + \Delta$, and denote by Υ^1 the proper transform of the \mathbb{Q} -divisor Ω on the surface S_1 . Put $n_0 = \text{mult}_P(\Upsilon)$. Then the log pair $(S_1, \lambda \Upsilon^1 + (\lambda(n_0 + a_1 t_1) - 1)E_1)$ is not log canonical at P_1 , since $P_1 \notin T_1^1$ by Lemma 4.12. In particular, it follows from Theorem 2.12 that

$$\lambda n_0 = \lambda \Upsilon^1 \cdot E_1 > 1,$$

which implies that $n_0 > \frac{1}{\lambda}$. Thus, if $t_1 \geq 2$, then it follows from Lemma 4.3 that

$$\frac{1}{\lambda} \geq \frac{d-1}{2} \geq \frac{d_1 + a_1 d_1 (d - d_1 - 1)}{2} = \frac{\Upsilon \cdot T_1}{2} \geq \frac{t_1 n_0}{2} \geq n_0 > \frac{1}{\lambda},$$

because $a_1 \leq \frac{1}{d_1}$ by Lemma 4.4, and $\lambda \leq \frac{2}{d-1}$ by Lemma 4.2(i). This shows that $t_1 = 1$, so that the curve T_1 is smooth at P .

If $t_n = 1$ and $n \geq 3$, then

$$\frac{2}{\lambda} \geq d - 1 \geq d_1 + d_n + a d_1 (d - d_1 - d_n - 1) = \Upsilon \cdot (T_1 + T_n) \geq 2n_0 > \frac{2}{\lambda}.$$

Thus, if $t_n = 1$, then $n = 2$. Vice versa, if $n = 2$, then $t_n = 1$, because T_1 is smooth at P . Furthermore, if $n = 2$, then $d_1 \leq d_n$, because $d_n > \frac{d-1}{2}$ by Lemma 4.5. Therefore, to complete the proof, we must show that $n = 2$.

Suppose that $n \geq 3$. Let us seek for a contradiction. We know that $P \notin T_n$, so that $t_n = 0$. Then every irreducible component of the curve T_P that contain P is smooth at P by Lemma 4.8. Hence, there should be at least one irreducible component of the curve T_P containing P that is different from T_1 and T_n . Without loss of generality, we may assume that $P \in T_2$.

Put $\Omega = \sum_{i=3}^n a_i T_i + \Delta$ and $k_0 = \text{mult}_P(\Omega)$. By Lemma 4.4, we have $a_1 d_1 + a_2 d_2 \leq 1$. Thus, it follows from Lemma 4.3 that

$$2k_0 \leq \Delta \cdot (T_1 + T_2) = d_1 + d_2 + (a_1 d_1 + a_2 d_2)(d - d_1 - d_2 - 1) \leq d_1 + d_2 + (d - d_1 - d_2 - 1) = d - 1.$$

Hence, we have $k_0 \leq \frac{d-1}{2}$.

Denote by Ω^1 the proper transform of the \mathbb{Q} -divisor Ω on the surface S_1 . Then the log pair $(S_1, \lambda\Omega^1 + (\lambda(k_0 + a_1 + a_2) - 1)E_1)$ is not log canonical at P_1 , because $P_1 \notin T_1^1$ and $P_1 \notin T_2^1$ by Lemma 4.12. In particular, it follows from Theorem 2.11 that

$$\lambda k_0 = \lambda\Omega^1 \cdot E_1 > 1,$$

which implies that $k_0 > \frac{1}{\lambda}$. This contradicts Lemma 4.2(i), because $k_0 \leq \frac{d-1}{2}$. \square

Later, we will need the following simple

Lemma 4.15. Suppose that $d = 4$. Then $m_0 \leq \frac{11}{5}$.

Proof. If $n = 1$, then

$$2t_n \geq d_n = T_n \cdot D \geq t_n m_0,$$

so that $m_0 \leq 2 < \frac{11}{5}$. Thus, we may assume that $n \neq 1$. Then it follows from Lemma 4.14 that $n = 2$, $P \in T_1 \cap T_2$, both curves T_1 and T_2 are smooth at P , and $d_1 \leq d_2$.

If $d_2 = 2$, then $m_0 \leq 2 < \frac{11}{5}$, because

$$2 = T_2 \cdot D \geq m_0.$$

Thus, we may assume that $d_2 \neq 2$. Then $d_1 = 1$ and $d_2 = 3$. Then $\text{mult}_P(\Delta) + 3a_1 \leq 3$ by Lemma 4.4. Moreover, we have

$$1 + 2a_1 = T_1 \cdot \Delta \geq \text{mult}_P(\Delta).$$

The obtained inequalities give $m_0 = \text{mult}_P(\Delta) + a_1 \leq \frac{11}{5}$. \square

Let $f_2: S_2 \rightarrow S_1$ be a blow up of the point P_1 . Denote by E_2 the f_2 -exceptional curve, denote by E_1^2 the proper transform of the curve E_1 on the surface S_2 , and denote by D^2 the proper transform of the \mathbb{Q} -divisor D on the surface S_2 . Then

$$K_{S_2} + \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2 \sim_{\mathbb{Q}} f_2^*(K_{S_1} + \lambda D^1 + (\lambda m_0 - 1)E_1).$$

By Remark 2.10, the log pair $(S_2, \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is not log canonical at some point $P_2 \in E_1$.

Lemma 4.16. One has $m_0 + m_1 \leq \frac{3}{\lambda}$.

Proof. Suppose that $m_0 + m_1 > \frac{3}{\lambda}$. Then $2m_0 \geq m_0 + m_1 > \frac{3}{\lambda}$. But $m_0 \leq \frac{d+1}{2}$ by Lemma 4.9. Then $\lambda > \frac{3}{d+1}$. Thus, we have $d \leq 4$ by Lemma 4.2(ii). Moreover, if $d = 4$, then

$$\frac{22}{5} \geq 2m_0 \geq m_0 + m_1 > \frac{3}{\lambda} = \frac{24}{5}$$

by Lemma 4.15. This shows that $d = 3$.

We have $\lambda = 1$. If $n = 1$, then

$$3 = T_P \cdot D \geq 2m_0 \geq m_1 + m_0 > \frac{3}{\lambda} = 3,$$

which is absurd. Hence, it follows from Lemma 4.14 that $n = 2$, $d_1 = 1$, $d_2 = 2$ and $P \in T_1 \cap T_2$.

We have $m_0 = \text{mult}_P(\Delta) + a_1$. On the other hand, we have $\text{mult}_P(\Delta) + 2a_1 \leq 2$ by Lemma 4.4. Moreover, we have

$$1 + a_1 = T_1 \cdot \Omega \geq \text{mult}_P(\Delta),$$

which implies that $\text{mult}_P(\Delta) - a_1 \leq 1$. Adding these inequalities, we get

$$3 \geq 2\text{mult}_P(\Delta) + a = \text{mult}_P(\Delta) + m_0 \geq m_1 + m_0 > \frac{3}{\lambda} = 3,$$

because $\text{mult}_P(\Delta) \geq m_1$, since $P_1 \notin T_1^1$ by Lemma 4.12. \square

Thus, the log pair $(S_2, \lambda D^2 + (\lambda m_0 - 1)E_1^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is log canonical at every point of the curve E_2 that is different from the point P by Lemma 2.13.

Lemma 4.17. One has $P_2 \neq E_1^2 \cap E_2$.

Proof. Suppose that $P_2 = E_1^2 \cap E_2$. Then Theorem 2.11 gives

$$\lambda(m_0 - m_1) = \lambda D^2 \cdot E_1^2 > 3 - \lambda(m_0 + m_1),$$

which implies that $m_0 > \frac{3}{2\lambda}$. But $m_0 \leq \frac{d+1}{2}$ by Lemma 4.9. Therefore, we have $\lambda > \frac{3}{d+1}$, which implies that $d \leq 4$ by Lemma 4.2(ii). If $d = 4$, then

$$\frac{12}{5} = \frac{3}{2\lambda} < m_0 \leq \frac{11}{5}$$

by Lemma 4.15. Thus, we have $d = 3$.

One has $\lambda = 1$. If $n = 1$, then

$$3 = T_P \cdot D \geq 2m_0 > \frac{3}{\lambda} = 3,$$

which is absurd. Hence, it follows from Lemma 4.14 that $n = 2$, $d_1 = 1$, $d_2 = 2$ and $P \in T_1 \cap T_2$.

We have $m_0 = \text{mult}_P(\Delta) + a_1$. Moreover, we have $\text{mult}_P(\Delta) + 2a_1 \leq 2$ by Lemma 4.4. Then $2\text{mult}_P(\Delta) + a_1 \leq 3$, because

$$1 + a_1 = T_1 \cdot \Delta \geq \text{mult}_P(\Delta).$$

Denote by Δ^1 the proper transform of the divisor Δ on the surface S_1 , and denote by Δ^2 the proper transform of the divisor Δ on the surface S_2 . Then $m_1 = \text{mult}_{P_1}(\Delta^1)$, because $P_1 \notin T_1^1$ by Lemma 4.12. Thus, the log pair $(S_2, \lambda \Delta^2 + (m_0 - 1)E_1^2 + (m_0 + m_1 - 2)E_2)$ is not log canonical at P_2 . Applying Theorem 2.11 to this pair and the curve E_1^2 , we get

$$\text{mult}_P(\Delta) - m_1 = \Delta^2 \cdot E_1^2 > 3 - m_0 - m_1,$$

which implies that $2\text{mult}_P(\Delta) + a_1 > 3$. The latter is impossible, because we already proved that $2\text{mult}_P(\Delta) + a_1 \leq 3$. \square

Thus, the log pair $(S_2, \lambda D^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is not log canonical at P_2 . Then Lemma 2.5 gives

$$(4.18) \quad m_0 + m_1 + m_2 > \frac{3}{\lambda}.$$

Denote by T_P^2 the proper transform of the curve T_P on the surface S^2 . Then

$$T_P^2 + E_1^2 \sim (f_1 \circ f_2)^*(\mathcal{O}_S(1)) - f_2^*(E_1) - E_2,$$

because $T_P^1 \sim f_1^*(\mathcal{O}_S(1)) - 2E_1$ by Lemma 4.14, and $P_1 \notin T_P^1$ by Lemma 4.12.

Lemma 4.19. The linear system $|T_P^2 + E_1^2|$ is a pencil that does not have base points in E_2 .

Proof. Since $|T_P^1 + E_1|$ is a two-dimensional linear system that does not have base points, $|T_P^2 + E_1^2|$ is a pencil. Let C be a curve in $|T_P^1 + E_1|$ that passes through P_1 and is different from $T_P^1 + E_1$. Then C is smooth at P , since $P \in f_1(C)$ and $f_1(C)$ is a hyperplane section of the surface S that is different from T_P . Since $C \cdot E_1 = 1$, we see that $T_P^1 + E_1$ and C intersect transversally at P_1 . Thus, the proper transform of the curve C on the surface S_2 is contained in $|T_P^1 + E_1|$ and have no common points with $T_P^2 + E_1^2$ in E_2 . This shows that the pencil $|T_P^1 + E_1|$ does not have base points in E_2 . \square

Let Z^2 be the curve in $|T_P^2 + E_2|$ that passes through the point P_2 . Then

$$Z^2 \neq T_P^2 + E_1^2,$$

because $P_2 \neq E_1^2 \cap E_2$ by Lemma 4.17. Then Z_2 is smooth at P_2 . Put $Z = f_1 \circ f_2(Z^2)$ and $Z^1 = f_2(Z^2)$. Then $P \in Z$ and $P_1 \in Z^1$. Moreover, the curve Z is smooth at P , and the curve Z_1 is smooth at P_1 . Furthermore, the curve Z is reduced by Lemma 2.6.

The log pair $(S, \lambda Z)$ is log canonical at P , because Z is smooth at P . Note that

$$Z \sim_{\mathbb{Q}} D.$$

Thus, we may assume that $\text{Supp}(D)$ does not contain at least one irreducible component of the curve Z by Remark 2.4. Denote this irreducible component by \overline{Z} , and denote its degree in \mathbb{P}^3 by \bar{d} . Then $\bar{d} \leq d$.

Lemma 4.20. One has $P \notin \overline{Z}$.

Proof. Suppose that $P \in \overline{Z}$. Let us seek for a contradiction. Denote by \overline{Z}^2 the proper transform of the curve \overline{Z} on the surface S_2 . Then

$$d - m_0 - m_1 \geq \bar{d} - m_0 - m_1 = \overline{Z}^2 \cdot D^2 \geq m_2,$$

which implies that $m_0 + m_1 + m_2 \leq d$. On the other hand, $m_0 + m_1 + m_2 > \frac{3}{\lambda}$ by (4.18). This gives $\lambda > \frac{3}{d}$, which is impossible by Lemma 4.2(vi). \square

In particular, the curve Z is reducible. Denote by \widehat{Z} its irreducible component that passes through P , denote its proper transform on the surface S_1 by \widehat{Z}^1 , and denote its proper transform on the surface S_2 by \widehat{Z}^2 . Then $\overline{Z} \neq \widehat{Z}$, $P_1 \in \widehat{Z}^1$ and $P_2 \in \widehat{Z}^2$. Denote by \hat{d} the degree of the curve \widehat{Z} in \mathbb{P}^3 . Then $\hat{d} + \bar{d} \leq d$. Moreover, the intersection form of the curves \widehat{Z} and \overline{Z} on the surface S is given by

Lemma 4.21. One has $\overline{Z} \cdot \overline{Z} = -\bar{d}(d - \bar{d} - 1)$, $\widehat{Z} \cdot \widehat{Z} = -\hat{d}(d - \hat{d} - 1)$ and $\overline{Z} \cdot \widehat{Z} = \bar{d}\hat{d}$.

Proof. See the proof of Lemma 4.3. \square

Put $D = a\widehat{Z} + \Omega$, where a is a positive rational number, and Ω is an effective \mathbb{Q} -divisor on the surface S whose support does not contain the curve \widehat{Z} . Denote by Ω^1 the proper transform of the divisor Ω on the surface S_1 , and denote by Ω^2 the proper transform of the divisor Ω on the surface S_2 . Put $n_0 = \text{mult}_P(\Omega)$, $n_1 = \text{mult}_{P_1}(\Omega^1)$ and $n_2 = \text{mult}_{P_2}(\Omega^2)$. Then $m_0 = n_0 + a$, $m_1 = n_1 + a$ and $m_2 = n_2 + a$. Then the log pair $(S_2, \lambda a\widehat{Z}^2 + \lambda\Omega^2 + (\lambda(n_0 + n_1 + 2a) - 2)E_2)$ is not log canonical at P_2 , because $(S_2, \lambda D^2 + (\lambda(m_0 + m_1) - 2)E_2)$ is not log canonical at P_2 . Thus, applying Theorem 2.11, we see that

$$\lambda(\Omega \cdot \widehat{Z} - n_0 - n_1) = \lambda\Omega^2 \cdot Z^2 > 1 - (\lambda(n_0 + n_1 + 2a) - 2) = 3 - \lambda(n_0 + n_1 + 2a),$$

which implies that

$$(4.22) \quad \Omega \cdot \widehat{Z} > \frac{3}{\lambda} - 2a.$$

On the other hand, we have

$$\bar{d} = D \cdot \overline{Z} = (a\widehat{Z} + \Omega) \cdot \overline{Z} \geq a\widehat{Z} \cdot \overline{Z} = a\bar{d}\hat{d}$$

by Lemma 4.21. This gives

$$(4.23) \quad a \leq \frac{1}{\hat{d}}.$$

Thus, it follows from (4.22), (4.23) and Lemma 4.21 that

$$\frac{3}{\lambda} - 2 \leq \frac{3}{\lambda} - 2a < \Omega \cdot \widehat{Z} = \hat{d} + a\hat{d}(d - \hat{d} - 1) \leq d - 1,$$

which implies that $\lambda > \frac{3}{d+1}$. Then $d \leq 4$ by Lemma 4.2(ii).

Lemma 4.24. One has $d \neq 4$.

Proof. Suppose that $d = 4$. Then $\lambda = \frac{5}{8}$ and $\hat{d} \leq 3$. By Lemma 4.12, \widehat{Z} is not a line, since every line passing through P must be an irreducible component of the curve T_P . Thus, either \widehat{Z} is a conic or \widehat{Z} is a plane cubic curve. If \widehat{Z} is a conic, then $\widehat{Z}^2 = -2$ and $a \leq \frac{1}{2}$ by (4.23). Thus, if \widehat{Z} is a conic, then

$$2 + 2a = \Omega \cdot \widehat{Z} > \frac{3}{\lambda} - 2a = \frac{24}{5} - 2a,$$

which implies that $\frac{1}{2} \geq a > \frac{7}{10}$. This shows that \widehat{Z} is a plane cubic curve. Then $\widehat{Z}^2 = 0$. Since $a \leq \frac{1}{3}$ by (4.23), we have

$$3 = \Omega \cdot \widehat{Z} > \frac{3}{\lambda} - 2a = \frac{24}{5} - 2a \geq \frac{24}{5} - \frac{2}{3} = \frac{62}{15},$$

which is absurd. \square

Thus, we see that $d = 3$. Then \widehat{Z} is either a line or a conic. But every line passing through P must be an irreducible component of T_P . Since \widehat{Z} is not an irreducible component of T_P by Lemma 4.12, the curve \widehat{Z} must be a conic. Then $\widehat{Z}^2 = 0$. Therefore, it follows from (4.22) that

$$3 - 2a = \frac{3}{\lambda} - 2a < \Omega \cdot \widehat{Z} = \hat{d} + a\hat{d}(d - \hat{d} - 1) = \hat{d} = 2,$$

which implies that $a > \frac{1}{2}$. But $a \leq \frac{1}{\hat{d}} = \frac{1}{2}$ by (4.23). The obtained contradiction completes the proof of Theorem 1.17.

REFERENCES

- [1] I. Cheltsov, *Log canonical thresholds on hypersurfaces*, Sb. Math. **192** (2001), 1241–1257.
- [2] I. Cheltsov, *Del Pezzo surfaces and local inequalities*, Proceedings of the Trento conference “Groups of Automorphisms in Birational and Affine Geometry”, October 2012, Springer (2014), 83–101.
- [3] I. Cheltsov, J. Park, J. Won, *Affine cones over smooth cubic surfaces*, to appear in J. of EMS.
- [4] A. Corti, J. Kollár, K. Smith, *Rational and nearly rational varieties*, Cambridge Studies in Advanced Mathematics **92** (2004), Cambridge University Press.
- [5] P. Hacking, *Compact moduli of plane curves*, Duke Math. J. **124** (2004), 213–257.
- [6] C.-M. Hui, *Plane quartic curves*, Ph.D. Thesis, University of Liverpool, 1979.
- [7] H. Kim, Y. Lee, *Log canonical thresholds of semistable plane curves*, Math. Proc. Cambridge Philos. Soc. **137** (2004), 273–280.
- [8] T. Kuwata, *On log canonical thresholds of reducible plane curves*, American J. of Math., **121** (1999), 701–721.
- [9] D. Mumford, J. Fogarty, and F. Kirwan, *Geometric invariant theory*, 3rd ed., Ergeb. Math. Grenzgeb. **34**, Springer, Berlin, 1994.
- [10] A. Płoski, *A bound for the Milnor number of plane curve singularities*, Cent. Eur. J. Math. **12** (2014), 688–693.
- [11] V. Shokurov, *Three-dimensional log perestroikas*, Russian Acad. Sci. Izv. Math. **40** (1993), 95–202.
- [12] G. Tian, *Kähler–Einstein metrics on algebraic manifolds*, Metric and Differential Geometry, Progress in Mathematics **297** (2012), 119–159.
- [13] C. Wall, *Highly singular quintic curves*, Math. Proc. Cambridge Philos. Soc. **119** (1996), 257–277.